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A Generalized Multi-organization Scheduling on Unrelated Parallel Machines

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Abstract We consider the parallel computing environment where multiple organizations provide machines and several jobs to be executed. While cooperation of organizations is required to minimize the global makespan, each organization also expects the faster completion of its own jobs primarily and thus it is not necessarily cooperative.

To handle the above situations, we formulate the $\alpha$-cooperative multi-organization scheduling problem ($\alpha$-MOSP), where $\alpha \geq 1$ is a parameter representing the degree of cooperativeness. $\alpha$-MOSP minimizes the makespan under the cooperation constraint that each organization does not allow the completion time of its own jobs to be delayed $\alpha$ times of that in the case where those jobs are executed by itself.

In this paper, we aim to reveal the relation between the makespan and the degree of cooperativeness. First, we investigate the relation between $\alpha$ and the quality of the global makespan. For $\alpha = 1$ (i.e., the completely uncooperative case), we show an instance where the cooperation constraint degrades the optimal makespan by $m$ times. In contrast, for $\alpha > 1$, we can construct an algorithm transforming any unconstrained schedule to one satisfying the cooperation constraint. This algorithm bounds the degradation ratio by $\alpha/(\alpha - 1)$, which implies that weak cooperation improves the makespan dramatically. Second, we study the complexity of $\alpha$-MOSP. We show its strongly NP-hardness and inapproximability for the approximation factor less than $\max\{(\alpha + 1)/\alpha, 3/2\}$. We also show the hardness of transformation: Even if an optimal schedule under no cooperation constraint is given, no polynomial-time algorithm finds an optimal schedule for $\alpha$-MOSP. This result is a witness for inexistence of general polynomial-time transformation algorithms that preserve the approximation ratio.

1 Introduction

Background and Motivation. Cooperative computing has become important with an increase in the demand for considerable computational power. In typical cooperative computing, several independent organizations provide their computational resources and form a huge parallel computing environment by concentrating all the resources. Each organization can obtain the benefit by executing its jobs on the environment. However, we have some concern that the benefit of each organization would be decreased when multiple organizations utilize the environment at the same time. In fact, if the environment maximizes the global benefit (such as the global makespan), it may decrease the local benefit of each organization (such as the completion time of its jobs) [13]. If an organization
suffers a significant decrease in its local benefit, the organization has no reason to participate in the cooperative computing. Thus, to keep the organizations into the cooperative computing, it is necessary to maximize the global benefit without sacrificing the local benefit of each organization. However, in general, the environment must decrease the global benefit to increase the local benefit because it must maximize the global benefit in more constrained situations. In other words, there exists the tradeoff between the global and local benefit. Then, it is a challenging problem to clarify the inherent difficulty caused by such tradeoff.

To consider the above situation, the Multi-Organization Scheduling Problem (abbreviated by MOSP) is proposed by Pascual et al. in [13]. MOSP considers a parallel computing environment formed by several organizations, where each organization provides a multiprocessor machine and independent jobs to be executed. While the goal of MOSP is to minimize the global makespan (i.e., the time at which all jobs are finished), each organization is primarily interested in its completion time (i.e., the time at which all jobs provided by the organization are finished). If the completion time is later than its local execution, the organization receives no merit. Thus, MOSP aims to minimize the global makespan under the constraint that all organizations receive benefit (i.e., the completion time of each organization is not delayed compared to its local execution). Pascual et al. modeled a parallel computing environment as a set of identical multiprocessor machines and jobs as rigid parallel jobs [12]. In this setting, they proposed a 3-approximation algorithm\(^1\) in a special case and a 4-approximation algorithm in the general case.

**Our Contribution.** In this paper, we consider a more generalized form of MOSP: We define it as the \(\alpha\)-Cooperative Multi-Organization Scheduling Problem (abbreviated by \(\alpha\)-MOSP)\(^2\) by introducing parameter \(\alpha \geq 1\), which represents the degree of cooperativeness. \(\alpha\)-MOSP minimizes the global makespan under the constraint (called the cooperation constraint) that the completion time of each organization is bounded by \(\alpha\) times of that in its local execution. When \(\alpha > 1\), each organization is cooperative in the sense that it allows its completion time to be sacrificed for the global benefit (i.e., the shorter global makespan). Our objective is to reveal how the degree of cooperativeness \(\alpha\) affects the global makespan of \(\alpha\)-MOSP.

We model a parallel computing environment as unrelated parallel machines [12], instead of identical multiprocessor machines in [13]. This is because we want to treat the heterogeneity among computational resources in the environment. Actually, it is much more likely that organizations provide machines with different computational powers. In unrelated parallel machines, the time required for a machine to execute a job depends on both the job and the machine.

In the first part of this paper, we study the relation between the degree of cooperativeness \(\alpha\) and the global makespan of \(\alpha\)-MOSP. Let \(T^*\) be the minimum global makespan of \(\alpha\)-MOSP, and \(T^\alpha\) be the minimum global makespan under no cooperation constraint. First, we show that there exists an instance satisfying \(T^\alpha/T^* \geq \max \left\{\sum_{k=1}^m 1/\alpha^k, (\alpha + 1)/\alpha \right\} - \epsilon\), where \(m\) is the number of organizations and \(\epsilon\) is an arbitrary small positive constant. Note that, when \(\alpha = 1\), we have \(T^\alpha/T^* \geq m - \epsilon\). This implies that, when each organization does not allow its completion time to be delayed (i.e., the completely uncooperative case), it is impossible to bound reasonably the degradation of the global makespan. In contrast, when \(\alpha > 1\), we still have possibility that we can construct the schedule which incurs only a small amount of degradation. As the second contribution, we show that such construction is actually possible. More precisely, we propose the algorithm which transforms a schedule \(S\) under no cooperation constraint into a schedule \(S'\) for \(\alpha\)-MOSP. This algorithm guarantees

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\(^1\)Let \(U\) be a minimization problem, and \(cost\) be a cost function of \(U\). An algorithm \(A\) for \(U\) is an \(\alpha\)-approximation algorithm if \(cost(A(x)) \leq \alpha \cdot cost(opt(x))\) holds for any instance \(x\), where \(A(x)\) is the output of the algorithm \(A\) for \(x\) and \(opt(x)\) is an optimal solution for \(x\) [7].

\(^2\)\(\alpha\)-MOSP was introduced informally as a possible future work in [13].
that the global makespan of $S'$ is at most $1 + \sum_{k=1}^{m} 1/\alpha^k$ times longer than that of $S$. This implies that weak cooperation improves the global makespan dramatically since $1 + \sum_{k=1}^{m} 1/\alpha^k \leq \alpha/(\alpha - 1)$ holds for $\alpha > 1$. Note that minimizing the global makespan under no cooperation constraint is equivalent to the traditional job scheduling problem $R||C_{\text{max}}$.

Thus, we can obtain approximation algorithms for $\alpha$-MOSP by combining approximation algorithms for $R||C_{\text{max}}$ with our transformation algorithm.

In the second part of this paper, we investigate the complexity of $\alpha$-MOSP. First, we prove that $\alpha$-MOSP is strongly NP-hard for any $\alpha \geq 1$. The proof is based on the reduction from $R||C_{\text{max}}$. Since this reduction preserves the approximation ratio, we can easily obtain the inapproximability of $\alpha$-MOSP for the approximation ratio less than $3/2$ from the inapproximability of $R||C_{\text{max}}$. In addition, we can improve this lower bound for the case of $\alpha < 2$. We prove that, for any $\rho < (\alpha + 1)/\alpha$, there is no $\rho$-approximation algorithm of $\alpha$-MOSP unless $P = NP$. Finally, we consider the complexity of transformation from unconstrained schedules to constrained ones. We show that, even if the optimal unconstrained solution is given, no polynomial-time algorithm can compute the optimal constrained solution for $\alpha < 2$ unless $P = NP$. This result is a witness for inexistence of general transformation algorithms that preserve the approximation ratio.

Related Work. In this paper, we aim to minimize the global makespan under the realistic assumption that each agent (organization) participates in the parallel computing unless it incurs a large increase of its completion time. In this sense, our results show the attainable global makespan when a certain kind of selfishness is introduced into agents. In what follows, we briefly summarize the literature about the makespan scheduling under selfishness.

Rzadca et al. [14] consider the cooperative computing formed by multiple organizations. In their cooperative computing, each organization, at some intervals, makes a decision whether it joins the cooperative computing or not. If an organization decides to join, the organization can use computational resources provided by other organizations but its own jobs may sometimes be delayed by other jobs. If an organization decides not to join, the organization has to compute its jobs by its local computational resources. Rzadca et al. construct a game-theoretic model to analyze the situation that each organization selfishly makes the decision and propose an algorithm that promotes cooperation among organizations.

Some researches model the scheduling problem as another game-theoretic model. In the model, there are some agents and some machines. Each agent produces a job and assigns it to some machine. Each machine schedules assigned jobs locally and executes them. Then, each agent knows the scheduling rule and how other agents assign jobs to machines. This means that each agent can selfishly select a machine which minimizes its completion time. Researches using this model focus on the Nash equilibrium, in which no agent can improve its completion time by unilaterally changing the machine. The main research topic is the coordination mechanism [6], which specifies how each machine schedules assigned jobs locally to attain the minimum makespan on the Nash equilibrium. To measure the quality of coordination mechanisms, the Price of Anarchy (PoA) [10] and the Price of Stability (PoS) [15, 3] are used. The PoA and the PoS are defined as the ratios of the global makespan on the worst and the best Nash equilibrium to that on the optimal situation, respectively. Note that the PoA guarantees the performance in the worst situation and the PoS shows the lower bound of the attainable performance. The PoA and the PoS of several methods are analyzed in [6, 8, 4] and in [1], respectively.

Other researches consider the model where agents can expand processing time of its job (by appending dummy computation) to minimize their completion time. For example, let us consider the LPT (Longest Processing Time first) rule, which is efficient for $P||C_{\text{max}}$ and $Q||C_{\text{max}}$. Since jobs

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3The notations $P||C_{\text{max}}$, $Q||C_{\text{max}}$, and $R||C_{\text{max}}$ denote the independent job scheduling problem on identical, uniform, and unrelated parallel machines, respectively [12].
with long processing time are scheduled earlier under the LPT rule, agents will expand processing
time of their jobs. This selfish behavior of agents makes the LPT rule inefficient. In [2, 5], the
authors propose truthful algorithms, which have the property that expanding a job never improves
its completion time. From the property, truthful algorithms do not give agents the motivation to
expand their jobs.

In the literature of selfish routing, some researches consider a problem similar to that in this paper. It is well known that, in networks with multiple users, a global-optimal flow may assign some
users to considerably long routes. To overcome this dilemma, Jahn et al. [9] propose an algorithm to
find a global-optimal flow under the user constraints that no user is assigned to a considerably long
route. Schulz et al. [16] consider the same problem and give the theoretical bounds on the inefficiency
and unfairness of a flow under the user constraints.

2 Preliminaries

2.1 Notations and the system model

We consider a computing environment formed by \( m \) independent organizations \( \mathcal{O} = \{O_1, \ldots, O_m\} \). Each organization \( O_k \) owns a machine \( M_k \). Consequently, the computing environment contains \( m \) machines. The set of all machines is denoted by \( \mathcal{M} \). Each organization \( O_k \) produces a set of jobs \( \mathcal{J}_k = \{J_{k,1}, J_{k,2}, \ldots\} \). We define \( \mathcal{J} = \mathcal{J}_1 \cup \ldots \cup \mathcal{J}_m \). The computing environment is modeled as unrelated parallel machines: The time required for \( M_k \) to execute \( J_{i,j} \) is denoted by \( t_{i,j}(M_k) \). We
assume that all jobs are nonpreemptive.

A schedule \( S \) is defined as a set of job lists \( L_S(M_k) \) (1 ≤ \( k \) ≤ \( m \)): Each job list \( L_S(M_k) = (J_{i_1,j_1}, J_{i_2,j_2}, \ldots) \) represents the sequence of jobs executed on \( M_k \) and its processing order.

For each \( J_{i,j} \in \mathcal{J} \), we define \( \rho_S(J_{i,j}) \) as the machine that executes \( J_{i,j} \) in a schedule \( S \), and \( \tau_S(J_{i,j}) \) as the time at which the execution of \( J_{i,j} \) is started in a schedule \( S \). Note that \( \tau_S(J_{i,j}) = \sum_{k<i} t_{i,j}(M_k) \) holds for any \( L_S(M_k) = (J_{i_1,j_1}, J_{i_2,j_2}, \ldots) \). We define \( C^S_{\text{max}}(O_k) \) as the time at which all jobs produced by \( O_k \) are finished, i.e., \( C^S_{\text{max}}(O_k) = \max\{\tau_S(J_{k,j}) + t_{k,j}(\rho_S(J_{k,j})) | J_{k,j} \in \mathcal{J}_k\} \). The time \( C^S_{\text{max}}(O_k) \) is called the completion time of \( O_k \) in a schedule \( S \). We define \( T^S_{\text{max}}(M_k) \) as the time at which \( M_k \) finishes all the allocated jobs, i.e., \( T^S_{\text{max}}(M_k) = \max\{\tau_S(J_{i,j}) + t_{i,j}(M_k) | \rho_S(J_{i,j}) = M_k\} \). The (global) makespan of a schedule \( S \) is defined as \( T_{\text{max}}^S = \max\{T^S_{\text{max}}(M_k) | M_k \in \mathcal{M}\} \).

2.2 Problem definition

The \( \alpha \)-Cooperative Multi-Organization Scheduling Problem (abbreviated by \( \alpha \)-MOSP) is the problem to minimize the makespan under the constraint that, for any \( O_k \in \mathcal{O} \), the completion time of \( O_k \) is not delayed more than \( \alpha \) times the local execution. To define \( \alpha \)-MOSP formally, we introduce the local schedule \( \text{loc} \), in which each \( O_k \) computes all jobs in \( \mathcal{J}_k \) on its own machine \( M_k \). Precisely, the local schedule \( \text{loc} \) is defined as follows: \( L_{\text{loc}}(M_k) = (J_{k,1}, J_{k,2}, \ldots, J_{k,|\mathcal{J}_k|}) \) holds for each \( M_k \in \mathcal{M} \). Using the local schedule, we define feasible schedules for \( \alpha \)-MOSP.

**Definition 1** [\( \alpha \)-feasible schedule] For a constant \( \alpha \geq 1 \), a schedule \( S \) is \( \alpha \)-feasible if \( S \) satisfies the following conditions:

- Each job in \( \mathcal{J} \) is executed once in \( S \).
- (Cooperation Constraint) For any \( O_k \), \( C^S_{\text{max}}(O_k) \leq \alpha \cdot C^{\text{loc}}_{\text{max}}(O_k) \) holds.

We say an \( \alpha \)-feasible schedule \( S \) is \( \alpha \)-optimal iff \( T_{\text{max}}^S \leq T_{\text{max}}^{S'} \) for any \( \alpha \)-feasible schedule \( S' \). We define \( S_{\text{opt}}^\alpha \) as an \( \alpha \)-optimal schedule and \( T^\alpha \) as the makespan of \( S_{\text{opt}}^\alpha \).
3 Effect of the Cooperativeness

In this section, we investigate how cooperativeness of organizations inherently affects the global makespan. As a result, we show that, for any $\alpha \geq 1$, there exists an instance satisfying $T^\alpha/T^* \geq \max\{A_m, (\alpha + 1)/\alpha\} - \epsilon$, where $A_m = \sum_{\ell=1}^m 1/\alpha^\ell$ and $\epsilon$ is an arbitrary small positive constant. When $\alpha = 1$, it follows that $T^\alpha/T^* \geq m - \epsilon$. This means that the global makespan in the completely uncooperative case can become $m$ times longer than that under the unlimited cooperation.

In the rest, we show the above claim by two instances.

**Lemma 1** For any $\alpha$ such that $1 \leq \alpha < 2$, there exists an instance satisfying $T^\alpha/T^* \geq A_m - \epsilon$ if $A_m > 1$ holds, where $A_m = \sum_{\ell=1}^m 1/\alpha^\ell$ and $\epsilon$ is an arbitrary small positive constant.

**Proof** Consider the following instance. For each $O_k$, $J_k = \{J_{k,1}\}$. We define

$$t_{i,1}(M_k) = \begin{cases} t_k & \text{if } k = i \\ \alpha + \delta & \text{if } k \mod m = i - 1 \\ \infty & \text{otherwise}, \end{cases}$$

where $t_k = \sum_{\ell=0}^{k-1} 1/\alpha^\ell$ and $\delta$ is an arbitrary small positive constant satisfying $0 < \delta < t_m - \alpha$.

We show schedules $loc$ and $S^*$ in Fig. 1 (a) and (b), respectively (Schedule $S^*$ is clearly $\infty$-optimal since the processing time of $J_{m,1}$ is at least $\alpha + \delta$). For this instance, we show $S^*_\text{opt} = loc$: Focus on the job $J_{1,1}$. Since $C^\text{loc}_{\text{max}}(O_1) = t_1 = 1$ and $t_{1,1}(M_k) > \alpha$ for $k \neq 1$, $J_{1,1}$ has to be executed on $M_1$ in $\alpha$-feasible schedules. Next, focus on the job $J_{2,1}$. Since $C^\text{loc}_{\text{max}}(O_2) = t_2 = 1 + 1/\alpha$, $J_{2,1}$ has to be executed on $M_1$ or $M_2$ in $\alpha$-feasible schedules. However, since $M_1$ has to execute $J_{1,1}$ first, it cannot execute $J_{2,1}$ (if it does, the completion time of $J_{2,1}$ becomes $1 + \alpha + \delta > \alpha \cdot C^\text{loc}_{\text{max}}(O_2)$). Thus, $J_{2,1}$ has to be executed on $M_2$. Similarly, we can show that each job $J_{k,1}$ has to be executed on $M_k$, which
is dramatically improved by weak cooperation.

ToMOS S schedule

For example, Shchepin et al. [17] proposed an approximation algorithm which constructs a feasible schedule that satisfies the cooperation constraint but incurs only a small amount of performance degradation if each organization is completely cooperative. Since the problem to find an α-feasible schedule is NP-hard, we can easily check (2 − 1/α) holds. Remind that the problem to find such a schedule with the minimum makespan is equivalent to R||C_max. This problem was studied by many research groups, and several approximation algorithms were proposed [11, 17].

Figure 2: Schedules loc, S* and S^\text{opt}_\alpha in Lemma 2.

implies S^\text{opt}_\alpha = loc. From T^\text{opt}_\alpha = t_m = \alpha \cdot A_m and T^S = \alpha + \delta, we obtain the lemma by using sufficiently small δ.

\textbf{Lemma 2} For any \( \alpha > 1 \), there exists an instance satisfying \( T^\alpha / T^* \geq (\alpha + 1)/\alpha - \epsilon \), where \( \epsilon \) is an arbitrarily small positive constant.

\textbf{Proof} Consider the instance such that \( m = 2 \), \( J_1 = \{J_{1,1}\} \), \( J_2 = \{J_{2,1}\} \), \( t_{1,1}(M_1) = 1 \), \( t_{1,1}(M_2) = \alpha + \delta \), \( t_{2,1}(M_1) = \alpha \), and \( t_{2,1}(M_2) = \infty \), where \( \delta \) is an arbitrary small positive constant. We can easily check \( T^\text{opt}_\alpha = \alpha + 1 \) and \( T^S = \alpha + \delta \) (See Fig. 2). Consequently, we obtain the lemma by using sufficiently small \( \delta \).

Since \( A_m < (\alpha + 1)/\alpha \) holds for any \( \alpha \geq 2 \), we have the following theorem from Lemma 1 and Lemma 2.

\textbf{Theorem 1} There exists an instance satisfying \( T^\alpha / T^* \geq \max \{A_m, (\alpha + 1)/\alpha\} - \epsilon \) for any \( \alpha \geq 1 \), where \( A_m = \sum_{\ell=1}^m 1/\alpha^\ell \) and \( \epsilon \) is an arbitrary small positive constant.

\section{A transformation algorithm for \( \alpha \)-MOSP}

The instances proposed in the previous section demonstrate that it is impossible to bound the performance degradation caused by the cooperative constraint reasonably if each organization is completely uncooperative. However, it also remains the possibility that we can construct the schedule which satisfies the cooperation constraint but incurs only a small amount of performance degradation if each organization allows weak cooperation. Interestingly, we can show such construction is actually possible.

In this section, we present an algorithm, called ToMOS, which transforms a \( \infty \)-feasible schedule \( S \) into a \( \alpha \)-feasible schedule \( S' \) satisfying \( T^S_{\text{max}} \leq B_m \cdot T^S_{\text{max}} \), where \( B_m = 1 + \sum_{k=1}^m 1/\alpha^k \). From algorithm ToMOS, we have \( T^\alpha / T^* \leq B_m \). Note that, when \( \alpha > 1 \), it follows from \( B_m < \alpha/(\alpha - 1) \) that \( T^\alpha / T^* < \alpha/(\alpha - 1) \) holds. Remind that, when \( \alpha = 1 \), there exists an instance satisfying \( T^\alpha / T^* \geq m - \epsilon \), where \( \epsilon \) is a small positive constant. These results show that the global makespan is dramatically improved by weak cooperation.

By using algorithm ToMOS, if we have a \( \infty \)-feasible schedule \( S \) satisfying \( T^S_{\text{max}} \leq \beta \cdot T^* \), we can obtain an \( \alpha \)-feasible schedule \( S' \) satisfying \( T^S_{\text{max}} \leq \beta B_m \cdot T^* \). Remind that the problem to find \( S^* \) (i.e., a \( \infty \)-feasible schedule with the minimum makespan) is equivalent to \( R||C_{\text{max}} \). This problem was studied by many research groups, and several approximation algorithms were proposed [11, 17]. For example, Shchepin et al. [17] proposed an approximation algorithm which constructs a feasible schedule \( S \) satisfying \( T^S_{\text{max}} \leq (2 - 1/m) \cdot T^* \). Therefore, by combining Shchepin’s algorithm with algorithm ToMOS, we can construct an \( (2 - 1/m)B_m \)-approximation algorithm for \( \alpha \)-MOSP, which outputs an \( \alpha \)-feasible schedule \( S' \) satisfying \( T^S_{\text{max}} \leq (2 - 1/m)B_m \cdot T^* \).
Theorem 2 For any \( \infty \)-feasible schedule \( S \), algorithm ToMOS constructs \( S' \) such that \( T'_{\text{max}} \leq B_m \cdot T_{\text{max}}' \), where \( B_m = 1 + \sum_{k=1}^{m} 1/\alpha^k \).

Proof First, we consider how many times the while-loop is repeated. Let us assume \( O_k \) is selected in the \( \ell \)-th while-loop. Then, all jobs in \( J_k \) are collected to \( M_k \) and first executed on \( M_k \). Thus, for all \( \ell' \geq \ell \), \( C^S_{\text{max}}(O_k) = C^S_{\text{loc}}(O_k) \) holds (Note that \( S' \) is the schedule at the end of the \( \ell' \)-th while-loop). This implies that each \( O_k \) is selected in the fourth line at most once. Consequently, the while-loop is repeated at most \( m \) times.

In the following, we assume algorithm ToMOS repeats the while-loop \( h \) times (\( h \leq m \)) and outputs \( S' = S_h \). Clearly, the schedule \( S' \) is \( \alpha \)-feasible. In the rest of the proof, we show \( T'_{\text{max}} \leq B_m \cdot T_{\text{max}}' \).

We prove the following proposition by induction.

(Proposition A) For any \( \ell \) such that \( 0 \leq \ell \leq h \), \( T^S_{\text{max}} \leq B_\ell \cdot T^S_{\text{max}} \) holds.

In the case that \( \ell = 0 \), Proposition A clearly holds. We assume that Proposition A holds in the case \( \ell = \ell' (0 \leq \ell' < \ell) \). Let \( O_k \) be the organization selected in \( (\ell' + 1) \)-th while-loop. Then, algorithm ToMOS collects all jobs in \( J_k \) to \( M_k \). While such collection does not increase \( T^S_{\text{max}}(M_k) \) for any \( k' \neq k \), it increases \( T^S_{\text{max}}(M_k) \) by at most \( C^S_{\text{loc}}(O_k) \). Furthermore, no increase of assigned jobs occurs at \( M_k \) by the end of \( \ell' \)-th while-loop because it can appear at most once only when \( O_k \) collects its own jobs. Thus, we have \( T^S_{\text{max}}(M_k) \leq T^S_{\text{max}}(M_k) \leq T^S_{\text{max}} \). Consequently, it follows that \( T^S_{\text{max}} \leq T^S_{\text{max}} + C^S_{\text{loc}}(O_k) \). Since \( O_k \) is selected in the fourth line of the algorithm, we obtain \( C^S_{\text{local}}(O_k) > (1/\alpha) \cdot B_{\ell'} \cdot T^S_{\text{max}} \). Therefore, Proposition A holds for \( \ell = \ell' + 1 \).

From Proposition A, \( T^S_{\text{max}} \leq B_h \cdot T^S_{\text{max}} \leq B_m \cdot T^S_{\text{max}} \) holds.
5 Complexity of \( \alpha \)-MOSP

In this section, we present several results related to the complexity of \( \alpha \)-MOSP.

5.1 Strongly NP-Hardness of \( \alpha \)-MOSP

In this subsection, we prove that \( \alpha \)-MOSP is strongly NP-hard for any \( \alpha \geq 1 \). When \( \alpha = \infty \), \( \alpha \)-MOSP is equivalent to \( R||C_{\text{max}} \). Thus, since \( R||C_{\text{max}} \) is strongly NP-hard [12], \( \infty \)-MOSP is strongly NP-hard. In the following, we prove that \( \alpha \)-MOSP is strongly NP-hard for any \( \alpha \) \( (\alpha \geq 1) \). In the proof, we show the reduction from \( \infty \)-MOSP (i.e., \( R||C_{\text{max}} \)) to \( \alpha \)-MOSP. For lack of space, the proof is omitted and shown in the appendix.

**Theorem 3** \( \alpha \)-MOSP is strongly NP-hard for any \( \alpha \) \( (\alpha \geq 1) \). \( \Box \)

5.2 Inapproximability of \( \alpha \)-MOSP

We can easily show that \( \alpha \)-MOSP has the same lower bound for \( \infty \)-MOSP because the reduction used in the proof of the theorem 3 preserves the approximation ratio. Since it is known that no polynomial-time algorithm for \( \infty \)-MOSP can attain the approximation ratio less than 3/2 under the assumption of \( P \neq NP \), \( \alpha \)-MOSP has the same lower bound for any \( \alpha \). In this subsection, we can improve this lower bound for \( \alpha \) less than 2. More precisely, the following argument gives the lower bound for the approximation ratio \( \alpha/2 \) for any \( \alpha \).

The lower bound is proved by using a technique similar with [11], which is based on the reduction from the 3-dimensional matching problem.

**Definition 3** Consider three disjoint sets \( A = \{a_1, \ldots, a_n\} \), \( B = \{b_1, \ldots, b_n\} \), \( C = \{c_1, \ldots, c_n\} \), and a family \( F = \{T_1, \ldots, T_n\} \) of triples with \( |T_i \cap A| = |T_i \cap B| = |T_i \cap C| = 1 \) for any \( i \leq 1 \leq 2 \leq m \). 3-dimensional matching problem is one to decide whether there exists a 3-dimensional matching \( F' \), i.e., a subfamily \( F' \subseteq F \) satisfying \( |F'| = n \) and \( \bigcup_{T_i \in F'} T_i = A \cup B \cup C \) or not.

It is well-known that the 3-dimensional matching is NP-complete. It is easy to decide the existence of 3-dimensional matching for any instance with \( n \geq m \). Thus, in the following argument, we only consider the instances satisfying \( n < m \). For any instance of the 3-dimensional matching problem, we construct an instance of \( \alpha \)-MOSP with \( 2(n + m) \) machines. All machines are divided into three groups: the triple group \( \mathcal{M}_F = \{M_1, \ldots, M_m\} \), the element group \( \mathcal{M}_{ABC} = \{M_{m+1}, \ldots, M_{3m+n}\} \), and the dummy group \( \mathcal{M}_D = \{M_{3m+n+1}, \ldots, M_{2(n+m)}\} \). Each machine \( M_i \) in \( \mathcal{M}_F \) corresponds to the triple \( T_i = (a_j, b_k, c_l) \). We say “the elements \( a_j, b_k \) and \( c_l \) are assigned to \( M_i \)” if the triple corresponding to \( M_i \) is \( (a_j, b_k, c_l) \). Each machine \( M_i \) in \( \mathcal{M}_{ABC} \) provides one element job, which has one-to-one correspondence to an element in \( A \cup B \cup C \). The element corresponding to \( M_i \)’s element job is denoted by \( e(i) \).

The processing time of \( M_i \)’s element job is defined as follows:

\[
t_{i,1}(M_k) = \begin{cases} 
\alpha/3 & \text{if } M_k \in \mathcal{M}_F \text{ and } e(i) \text{ is assigned to } M_k \\
\alpha + 1 & \text{if } M_k \in \mathcal{M}_F \text{ and } e(i) \text{ is not assigned to } M_k \\
1 & \text{if } M_k \in \mathcal{M}_{ABC} \\
\alpha + 1 & \text{if } M_k \in \mathcal{M}_D,
\end{cases}
\]

Machines in \( \mathcal{M}_F \) and \( \mathcal{M}_D \) also provide dummy jobs. The triple group \( \mathcal{M}_F \) provides \( 2n + m \) jobs in total (the provider for each job is arbitrary), each of which have the following processing time:
Each machine $M_i$ in the dummy group provides one job with the following processing time:

$$t_{i,j}(M_k) = \begin{cases} 
\alpha + 1 & \text{if } M_k \in M_F \\
\alpha & \text{otherwise,}
\end{cases}$$

To distinguish the dummy jobs provided by $M_F$ and $M_D$, we call the formers *dummy1 jobs* and the latters *dummy2 jobs*. For any instance $I$ of the 3-dimensional matching problem, $R(I)$ denotes its corresponding instance of $\alpha$-MOSP. Intuitively, the choice of a triple $T_i$ in $I$ implies that $M_i$ processes all of three jobs corresponding to its assigned elements.

**Lemma 3** An instance $I$ of 3-dimensional matching problem is ‘yes’ instance $\iff R(I)$ has an $\alpha$-feasible schedule with makespan $\alpha$.

**Proof** (The proof of $\implies$) Suppose there exists a 3-dimensional matching $F'$ for the given instance $I$. Then, following $F'$, we can dispatch all element jobs to at most $n$ machines in $M_F$. Then, every assigned machine takes exactly three element jobs. Since every element job is processed with $\alpha/3$ time units on the machines in $M_F$, all of element jobs are completed by time $\alpha$. This implies that all machines in $M_{ABC}$ satisfy the cooperation constraint because their processing times in the local schedule are one. After the assignment of the element jobs, exactly $m - n$ machines in $M_F$ receives no assignment of jobs. To those machines, we assign all of $m - n$ dummy2 jobs. Then, every dummy2 job is processed with $\alpha$, and thus all machines in $M_D$ satisfy the cooperation constraint. Finally, each machine in $M_{ABC} \cup M_D$ takes one dummy1 job (remind that the number of dummy1 jobs is equal to $|M_{ABC} \cup M_D|$), which is also completed by time $\alpha$. The providers of dummy1 jobs (that is, the machines in $M_F$) need $\alpha + 1$ time units to process dummy1 jobs, they clearly satisfy the cooperation constraint. Consequently, the resultant schedule is $\alpha$-feasible schedule with makespan $\alpha$.

(The proof of $\iff$) Consider an $\alpha$-feasible schedule $S$ with makespan $\alpha$. Then, only the machines in $M_D \cup M_{ABC}$ can process dummy1 jobs with time $\alpha$, and thus every machine in $M_D \cup M_{ABC}$ has only one dummy1 job in $S$. This implies that all of element jobs and dummy2 jobs are processed on the machines in $M_F$. Since the sum of processing times on the triple group $M_F$ for all element jobs and dummy2 jobs is $\alpha m$, every machine in $M_F$ executes jobs until $\alpha$ in the schedule $S$. This implies that exactly $n$ machines take three element jobs. The triples corresponding to such the machines are a 3-dimensional matching.

**Theorem 4** Under the assumption of $P \neq NP$, there is no $\rho$-approximation algorithm of $\alpha$-MOSP for any $\rho < (\alpha + 1)/\alpha$.

**Proof** Suppose a $\rho$-approximation algorithm $A$ for contradiction. From Lemma 3, we can prove the theorem by showing that $A$ returns the schedule with makespan $\alpha$ for the instance $R(I)$ reduced from any ‘yes’ instance $I$ of the 3-dimensional matching problem. Then, the 3-dimensional matching problem is decidable in polynomial time, which contradicts $P \neq NP$.

Let $R(I)$ be the reduced instance, and $S$ be the $\alpha$-feasible schedule $A$ returns for the instance $R(I)$. By Lemma 3, $R(I)$ has an $\alpha$-feasible schedule with makespan $\alpha$. It implies that the makespan of $S$ is lower than $\alpha + 1$. If $\alpha > 3$, the minimum granularity of job processing time in $R(I)$ is one. Thus, any
schedule with makespan more than \( \alpha \) has the makespan more than or equal to \( \alpha + 1 \), which implies \( A \) necessarily returns the schedule with makespan \( \alpha \). On the other hand, if \( \alpha < 3 \), the minimum granularity is \( \alpha/3 \). Thus, the makespan of \( S \) can be \( 4\alpha/3 \) or \( 5\alpha/3 \). Then, some machine \( M_i \in M_F \) takes one (two) element job(s) and exactly one dummy job. Let \( J \) be the last job processed by \( M_i \). Then, the organization providing \( J \) cannot satisfy the cooperation constraint, which contradicts the \( \alpha \)-feasibility of \( S \), and thus \( S \) necessarily has the makespan \( \alpha \). The theorem is proved.

5.3 NP-completeness of Transformation

In this subsection, we show the hardness of the transformation from an \( \infty \)-optimal schedule to an \( \alpha \)-optimal schedule. In other words, \( \alpha \)-MOSP is NP-complete even if the optimal solution in the unconstrained case is given.

The proof is based on the reduction from the 2-partition problem.

**Definition 4** Consider the set of \( n \) nonzero values \( C = \{c_1, \ldots, c_n\} \). The 2-partition problem is one to decide whether a subset \( C' \) of \( C \) satisfying \( \sum_{c_k \in C'} c_k = \sum_{c_k \notin C'} c_k \) or not.

The key idea of the proof is to reduce any instance \( I \) of the 2-partition problem to an instance \( R(I) \) of \( \alpha \)-MOSP for which the optimal solution can be computed in polynomial time if we omit the cooperation constraint. Then, if there exists a polynomial transformation algorithm, the 2-partition problem can be solved in polynomial time, and it contradicts \( P \neq NP \).

In what follows, we explain the construction of \( R(I) \) from the instance \( I \) of the 2-partition problem. Without loss of generality, we assume that \( I \) satisfies \( \sum_{k=1}^{n} c_k = 2(4 + \alpha)/3 \) (i.e., the instance is scaled by \( 2(4 + \alpha)/3 \)). Two machines \( M_1 \) and \( M_2 \) exist in \( R(I) \). The machine \( M_1 \) provides one dummy job, whose processing time is one on \( M_1 \) and \( 2(\alpha + 1)/3 \) on \( M_2 \). The machine \( M_2 \) provides \( n \) jobs \( \{J_{2,1}, \ldots, J_{2,n}\} \), each of which corresponds to one value in \( C \). We define their processing times as \( t_{2,k}(M_2) = c_k \) and \( t_{1,k}(M_1) = c_k(1+\alpha)/(4+\alpha) \). For instance \( R(I) \), we can show the following lemmas. For lack of space, the proof is omitted and shown in the appendix.

**Lemma 4** For any \( \alpha < 2 \), an instance \( I \) of the 2-partition problem is an 'yes' instance \( \iff R(I) \) has the \( \alpha \)-feasible schedule with makespan \( (\alpha + 4)/3 \).

**Lemma 5** For any \( \alpha < 2 \), an \( \infty \)-optimal schedule for \( R(I) \) can be computed in polynomial time.

The above two lemmas imply the following theorem:

**Theorem 5** Under the assumption of \( P \neq NP \), there is no polynomial-time algorithm that transforms an \( \infty \)-optimal solution to an \( \alpha \)-optimal solution for any \( \alpha < 2 \). \( \square \)

6 Conclusion

This paper has investigated the tradeoff between the global and local benefit in multi-organization cooperative computing. To analyze the tradeoff we have introduced \( \alpha \)-MOSP, which minimizes the global makespan under the cooperative constraint that the completion time of each organization is bounded by \( \alpha \) times of that in its local execution. Note that the parameter \( \alpha \) represents the degree of cooperativeness. In the first part of this paper, we have analyzed the lower and upper bound of the global makespan for each cooperativeness \( \alpha \). As a result, we have shown that it is impossible to bound reasonably the degradation of the global makespan for no cooperation case (i.e., \( \alpha = 1 \),
however, in contrast, it is possible to do so for weak cooperation (i.e., $\alpha > 1$). In the second part, we have shown some complexity results of $\alpha$-MOSP. These results are witnesses for inexistence of general polynomial-time transformation algorithms that preserve the approximation ratio.

We have some directions in our future works. In the theoretical direction, we would like to study the tighter lower and upper bounds. It is also an interesting problem to find the lower and upper bounds on more restrictive computation models such as uniform parallel machines. In the practical direction, we would like to propose an algorithm which constructs a schedule in decentralized and dynamic manner. Such an algorithm is indispensable to apply $\alpha$-MOSP to massive-scale cooperative computing such as grid computing.

References


A Appendix: Proof of Lemmas and Theorems

A.1 Proof of Theorem 3

Proof We show the reduction from $\infty$-MOSP to $\alpha$-MOSP. Consider an instance $I$ of $\infty$-MOSP. In $I$, we consider $m$ organizations $\mathcal{O} = \{O_1, \ldots, O_m\}$, and each $O_k$ provides jobs $J_k = \{J_{k,1}, J_{k,2}, \ldots\}$. Let $t_{\min} = \min\{t_{i,j,k}|J_{i,j} \in J, M_k \in \mathcal{M}\}$, where $t_{i,j,k}$ is the time required for $M_k$ to execute job $J_{i,j}$ in $I$.

From the instance $I$ of $\infty$-MOSP, we construct an instance $R(I)$ of $\alpha$-MOSP. In $R(I)$, we consider $m + 1$ organizations $\mathcal{O} = \{O_1, \ldots, O_{m+1}\}$. In $R(I)$, $O_{m+1}$ provides all jobs in $I$, and each machine $M_k$ ($1 \leq k \leq m$) executes the jobs at the same speed as $I$. We assign $\infty$ to the time required for $M_{m+1}$ to execute the jobs. Each organization $O_k$ ($1 \leq k \leq m$) provides a job $J_k$, whose processing time is $t_{\min}/m$ on $M_{m+1}$ and $\infty$ on other machines.

By the setting, in efficient schedules of $R(I)$, each job provided by $O_{m+1}$ has to be executed on some $M_k$ ($1 \leq k \leq m$), and each job provided by $O_1, \ldots, O_m$ has to be executed on $M_{m+1}$. Note that such schedules do not violate the cooperation constraint of $\alpha$-MOSP. The makespan of such schedules depends on the schedule for each machine $M_k$ ($1 \leq k \leq m$), which is the same as the schedule for $M_k$ in $I$. Thus, the problem to find an $\infty$-optimal schedule in $I$ is equivalent to the problem to find an $\alpha$-optimal schedule in $R(I)$. Therefore, we have the theorem.

A.2 Proof of Lemma 4

Proof (The proof of $\Rightarrow$) Suppose a solution $C'$ of the instance $I$. Then, we consider the $\alpha$-feasible schedule $S$ where the dummy job and the jobs whose corresponding values are in $C'$ are executed on $M_1$ (other jobs are executed on $M_2$). Then, from the fact that $C'$ is a 2-partition, we can easily see that both $M_1$ and $M_2$ finishes the assigned jobs at time $(\alpha + 4)/3$ in $S$.

(The proof of $\Leftarrow$) Suppose an $\alpha$-feasible schedule $S$ with makespan $(\alpha + 4)/3$. Since $2(\alpha+1)/3 > \alpha$ holds, the execution of the dummy job on $M_2$ violates the cooperation constraint. Thus, the dummy job is executed on $M_1$ in $S$. Then, we can show that $M_1$ finishes all assigned jobs exactly at time $(\alpha + 4)/3$: If $M_1$ finishes at time $(\alpha + 4)/3$ or earlier, $M_2$ takes more than $(\alpha + 4)/3$ time units to complete all assigned jobs. This implies that the set of values corresponding the jobs assigned to $M_1$ is a 2-partition of $C$.

A.3 Proof of Lemma 5

Proof We show that the schedule $S$ where all jobs are swapped between $M_1$ and $M_2$ is the $\infty$-optimal schedule. In $S$, both $M_1$ and $M_2$ finish the computation exactly at time $2(\alpha + 1)/3$. Thus, at the schedule $S$, any transfer of jobs from $M_1$ to $M_2$ does not improve the makespan. This implies that $S$ has the shortest makespan of all schedules where the dummy job is executed on $M_2$. By the same argument as the proof of Lemma 4, we can also show that the makespan becomes larger than or equal to $(\alpha + 4)/3$ as long as the dummy job is executed on $M_1$. This implies that $S$ is the optimal because $2(\alpha + 1)/3 < (\alpha + 4)/3$ holds for any $\alpha < 2$.