

On the Second Fundamental Inequality for Holomorphic Curves

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Let $f = [f_1, \dots, f_{n+1}]$ be a transcendental holomorphic curve from \mathbf{C} into the n -dimensional complex projective space $P^n(\mathbf{C})$ with a reduced representation $(f_1, \dots, f_{n+1}) : \mathbf{C} \rightarrow \mathbf{C}^{n+1} - \{0\}$, where n is a positive integer.

Let X be a subset of $\mathbf{C}^{n+1} - \{0\}$ in N -subgeneral position, where $N \geq n$, and $X(0) = \{\mathbf{a} = (a_1, \dots, a_{n+1}) \in X : a_{n+1} = 0\}$. Then, we can improve the second fundamental inequality of Nochka ([5]) as follows.

Theorem. Let $\mathbf{a}_1, \dots, \mathbf{a}_q$ be any elements of X ($2N - n + 1 < q < \infty$) and let s be the maximum number of linearly independent vectors in $X(0) \cap \{\mathbf{a}_1, \dots, \mathbf{a}_q\}$. Then

$$\sum_{j=1}^q m(r, \mathbf{a}_j, f) \leq (N - n + n(s))T(r, f) + (N + 1 - n(s))t(r, f) + S(r, f),$$

where $n(s) = (s + 1)(N + 1)/(n + 1)$.

Theorem. Let X be p -maximal ($1 \leq p \leq n$). Then,

$$\sum_{\mathbf{a} \in X} \delta(\mathbf{a}, f) \leq 2N - n + 1 - (N + 1)(n - p)(1 - \Omega)/(n + 1),$$

where $0 \leq \Omega = \limsup_{r \rightarrow \infty} t(r, f)/T(r, f) \leq 1$.

1 Introduction

Let $f = [f_1, \dots, f_{n+1}]$ be a holomorphic curve from \mathbf{C} into the n -dimensional complex projective space $P^n(\mathbf{C})$ with a reduced representation

$$(f_1, \dots, f_{n+1}) : \mathbf{C} \rightarrow \mathbf{C}^{n+1} - \{0\},$$

where n is a positive integer.

We use the following notation:

$$\|f(\mathbf{z})\| = (|f_1(\mathbf{z})|^2 + \dots + |f_{n+1}(\mathbf{z})|^2)^{1/2}$$

and for a vector $\mathbf{a} = (a_1, \dots, a_{n+1}) \in \mathbf{C}^{n+1} - \{0\}$

$$\begin{aligned} \|\mathbf{a}\| &= (|a_1|^2 + \dots + |a_{n+1}|^2)^{1/2}, \\ (\mathbf{a}, f) &= a_1 f_1 + \dots + a_{n+1} f_{n+1}, \\ (\mathbf{a}, f(\mathbf{z})) &= a_1 f_1(\mathbf{z}) + \dots + a_{n+1} f_{n+1}(\mathbf{z}) \end{aligned}$$

The characteristic function $T(r, f)$ of f is defined as follows (see [10]):

$$T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log \|f(re^{i\theta})\| d\theta - \log \|f(0)\|.$$

On the other hand, put

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$$U(z) = \max_{1 \leq j \leq n-1} |f_j(z)|,$$

then it is known([1]) that

$$T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log U(re^{i\theta}) d\theta + O(1). \tag{1}$$

We suppose throughout the paper that f is transcendental; that is to say,

$$\lim_{r \rightarrow \infty} \frac{T(r, f)}{\log r} = \infty$$

and f is linearly non-degenerate over \mathbf{C} ; namely, f_1, \dots, f_{n-1} are linearly independent over \mathbf{C} .

It is well-known that f is linearly non-degenerate over \mathbf{C} if and only if the Wronskian $W(f_1, \dots, f_{n-1})$ of f_1, \dots, f_{n-1} is not identically equal to zero.

We denote by $\rho(f)$ the order of f and $\mu(f)$ the lower order of f :

$$\rho(f) = \limsup_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} \quad \text{and} \quad \mu(f) = \liminf_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r}.$$

It is said that f is of regular growth if $\rho(f) = \mu(f)$.

For meromorphic functions in the complex plane we use the standard notation of the Nevanlinna theory of meromorphic functions([4]).

For $\mathbf{a} \in \mathbf{C}^{n+1} - \{\mathbf{0}\}$, we write

$$m(r, \mathbf{a}, f) = \frac{1}{2\pi} \int_0^{2\pi} \log \frac{\|\mathbf{a}\| \|f(re^{i\theta})\|}{|(\mathbf{a}, f(re^{i\theta}))|} d\theta,$$

$$N(r, \mathbf{a}, f) = N(r, \frac{1}{(\mathbf{a}, f)}).$$

We then have

$$T(r, f) = N(r, \mathbf{a}, f) + m(r, \mathbf{a}, f) + O(1) \tag{2}$$

([10], p.76). We call the quantity

$$\delta(\mathbf{a}, f) = 1 - \limsup_{r \rightarrow \infty} \frac{N(r, \mathbf{a}, f)}{T(r, f)} = \liminf_{r \rightarrow \infty} \frac{m(r, \mathbf{a}, f)}{T(r, f)}$$

the deficiency of \mathbf{a} with respect to f . We have

$$0 \leq \delta(\mathbf{a}, f) \leq 1$$

by (2) since $N(r, \mathbf{a}, f) \geq 0$ for $r \geq 1$ and $m(r, \mathbf{a}, f) \geq 0$ for $r > 0$.

Further, let $\nu(c)$ be the order of zero of $(\mathbf{a}, f(z))$ at $z=c$ and for a positive integer k let

$$n_k(r, \mathbf{a}, f) = \sum_{c| \leq r} \min\{\nu(c), k\}.$$

Then, we put for $r > 0$

$$N_k(r, \mathbf{a}, f) = \int_0^r \frac{n_k(t, \mathbf{a}, f) - n_k(0, \mathbf{a}, f)}{t} dt + n_k(0, \mathbf{a}, f) \log r$$

and put

$$\delta_k(\mathbf{a}, f) = 1 - \limsup_{r \rightarrow \infty} \frac{N_k(r, \mathbf{a}, f)}{T(r, f)}.$$

It is easy to see that $\delta(\mathbf{a}, f) \leq \delta_k(\mathbf{a}, f) \leq 1$ by definition.

Let X be a subset of $\mathbf{C}^{n+1} - \{\mathbf{0}\}$ in N -subgeneral position; that is to say, $\#X \geq N+1$ and any $N+1$ elements of X generate \mathbf{C}^{n+1} , where N is an integer satisfying $N \geq n$.

We use $\mathbf{e}_1, \dots, \mathbf{e}_{n+1}$ as the standard basis of \mathbf{C}^{n+1} .

Nochka([5]) gave the following

Theorem A. For any $q (> 2N - n + 1)$ elements $\mathbf{a}_j (j = 1, \dots, q)$ of X ,

$$(q-2N+n-1)T(r,f) < \sum_{j=1}^q N(r, \mathbf{a}_j, f) + S(r,f),$$

where $S(r,f)$ is any quantity satisfying

$$S(r,f) = o(T(r,f))$$

when r tends to ∞ outside a subset of r of at most a finite linear measure (see also [2] or [3]).

We gave a refinement of the second fundamental inequality of Cartan([1]) in [9]. The purpose of this paper is to give a result containing Theorem A for $N > n$.

2 Preliminary

Let $f = [f_1, \dots, f_{n+1}]$ and X etc. be as in Section 1.

Definition 1([9]). We put

$$u(z) = \max_{1 \leq j \leq n} |f_j(z)|,$$

$$t(r,f) = \frac{1}{2\pi} \int_0^{2\pi} \{\log u(re^{i\theta}) - \log u(e^{i\theta})\} d\theta.$$

and

$$\Omega = \limsup_{r \rightarrow \infty} \frac{t(r,f)}{T(r,f)} \quad \text{and} \quad \tau = \liminf_{r \rightarrow \infty} \frac{t(r,f)}{T(r,f)}.$$

It is easy to see the following

Proposition 1([9]). (a) $t(r,f)$ is independent of the choice of reduced representation of f .

(b) $t(r,f) \leq T(r,f) + O(1)$.

(c) $N(r, 1/f_j) \leq t(r,f) + O(1)$ ($j=1, \dots, n$).

(d) $0 \leq \tau \leq \Omega \leq 1$.

Definition 2([7]). We denote by f^* the holomorphic curve induced by the mapping

$$(f_1^{n+1}, \dots, f_n^{n+1}, W(f_1, \dots, f_n, f_{n+1})) : \mathbf{C} \rightarrow \mathbf{C}^{n+1}.$$

It is easy to see that f^* is independent of the choice of reduced representation of f . Let $d(z)$ be an entire function such that the functions

$$f_j^{n+1}/d \quad (j=1, \dots, n) \quad \text{and} \quad W(f_1, \dots, f_{n+1})/d$$

are entire functions without common zeros. Then

$$f^* = [f_1^{n+1}/d, \dots, f_n^{n+1}/d, W(f_1, \dots, f_{n+1})/d].$$

Proposition 2([7],[9]). (a) f^* is transcendental.

(b) $T(r, f^*) \leq T(r, f) + nt(r, f) - N(r, 1/d) + S(r, f)$.

(c) $\rho(f^*) = \rho(f)$.

Example 1. Let a_j ($j=1, \dots, n$) be real numbers satisfying $0 < a_1 < \dots < a_{n-1} < a_n$ and put

$$f = [1, e^{a_1 z}, \dots, e^{a_n z}].$$

Then, we easily have

$$T(r, f) = (a_n/\pi)r + O(1) \quad \text{and} \quad t(r, f) = (a_{n-1}/\pi)r + O(1)$$

(see [10], pp.94-95) and $\tau = \Omega = a_{n-1}/a_n (< 1)$. Further,

$$T(r, f^*) = (A/\pi)r + O(1),$$

where $A = \max\{(n+1)a_{n-1}, a_1 + \dots + a_n\}$.

We need the set

$$X(0) = \{\mathbf{a} = (a_1, \dots, a_n, a_{n+1}) \in X : a_{n+1} = 0\}$$

to obtain an amelioration of Theorem A. Let p be the maximum number of linearly independent vectors in $X(0)$. Then, it is easy to see that

$$0 \leq \#X(0) \leq N \quad \text{and} \quad 0 \leq p \leq n.$$

since X is in N -subgeneral position.

Let q be an integer satisfying $2N - n + 1 < q < \infty$ and put $Q = \{1, 2, \dots, q\}$. Let $\{\mathbf{a}_j : j \in Q\}$ be a family of vectors in X . If $P \subset Q$, we denote

$$H(P) = \text{the vector space spanned by } \{\mathbf{a}_j : j \in P\} \quad \text{and} \quad d(P) = \dim H(P).$$

Lemma 1 (see [2], Theorem 0.3). For $\{\mathbf{a}_j : j \in Q\}$, there exist a Nochka weight function $\omega : Q \rightarrow (0, 1]$ and a Nochka constant $\theta \geq 1$ such that

- (a) $0 < \omega(j)\theta \leq 1$ for all $j \in Q$;
- (b) $q - 2N + n - 1 = \theta(\sum_{j=1}^q \omega(j) - n - 1)$;
- (c) $(N+1)/(n+1) \leq \theta \leq (2N-n+1)/(n+1)$;
- (d) If $P \subset Q$ and $0 < \#P \leq N+1$, then $\sum_{j \in P} \omega(j) \leq d(P)$.

Lemma 2 ([2], Theorem 1.2). Let ω and θ be the same as in Lemma 1. Take $A \subset Q$ with $0 < \#A \leq N+1$. Let

$$\{E_j \in \mathbf{R} : E_j \geq 1, j \in Q\}.$$

Then there exists a subset B of A such that

$$\{\mathbf{a}_j : j \in B\} \quad \text{is a basis of } H(A)$$

and such that

$$\prod_{j \in A} E_j^{\omega(j)} \leq \prod_{j \in B} E_j.$$

Remark 1. If $\#A = N+1$, then $H(A) = \mathbf{C}^{n+1}$ and $\{\mathbf{a}_j : j \in B\}$ is a basis of \mathbf{C}^{n+1} .

3 Second fundamental inequality 1

Let $f = [f_1, \dots, f_{n+1}]$ and X etc. be as in Section 1 or 2.

Theorem 1. For any $\mathbf{a}_1, \dots, \mathbf{a}_q$ ($2N - n + 1 < q < \infty$) in $X - X(0)$, we have the following inequalities:

- (a) $\sum_{j=1}^q \omega(j) m(r, \mathbf{a}_j, f) \leq m(r, \mathbf{e}_{n+1}, f^*) + S(r, f)$;
- (b) $\sum_{j=1}^q m(r, \mathbf{a}_j, f) + \frac{N+1}{n+1} N(r, \frac{1}{W}) \leq (N - n + \frac{N+1}{n+1}) T(r, f) + (N+1 - \frac{N+1}{n+1}) t(r, f) + S(r, f)$,

where $W = W(f_1, \dots, f_{n+1})$.

Proof. (a) Put

$$(\mathbf{a}_j, f) = F_j \quad \text{and} \quad E_j = \|\mathbf{a}_j\| \|f\| / |F_j| (\geq 1) \quad (j = 1, \dots, q).$$

For any $\mathbf{z} (\neq 0)$ arbitrarily fixed, let

$$|F_{j_1}(\mathbf{z})| \leq |F_{j_2}(\mathbf{z})| \leq \dots \leq |F_{j_q}(\mathbf{z})|,$$

where j_1, \dots, j_q are distinct integers satisfying $1 \leq j_1, \dots, j_q \leq q$.

Then there is a positive constant K such that

$$\|f(z)\| \leq K |F_{j_\nu}(z)| \quad (\nu = N+1, \dots, q), \tag{3}$$

$$|F_{j_\nu}(z)| \leq K \|f(z)\| \quad (\nu = 1, \dots, q) \tag{4}$$

and for any j_ν

$$\begin{aligned} \|f(z)\| &\leq K(|f_1(z)|^2 + \dots + |f_n(z)|^2 + |F_{j_\nu}(z)|^2)^{1/2} \\ &\leq \begin{cases} K(n+1)^{1/2}u(z) & \text{if } |F_{j_\nu}(z)| \leq u(z) \\ K(n+1)^{1/2}|F_{j_\nu}(z)| & \text{otherwise} \end{cases} \end{aligned} \tag{5}$$

since the $n+1$ -th elements of vectors \mathbf{a}_j are different from zero. (From now on we denote by K a positive constant, which may be different from each other when it appears.)

(I) The case when $u(z) < |F_{j_1}(z)|$. We have $\|f(z)\| \leq K |F_{j_1}(z)|$ from (5) in this case and the following inequality holds:

$$\prod_{j=1}^q \left(\frac{\|\mathbf{a}_j\| \|f(z)\|}{|(\mathbf{a}_j, f(z))|} \right)^{\omega(j)} \leq K. \tag{6}$$

(II) The case when $|F_{j_1}(z)| \leq u(z)$. We have $\|f(z)\| \leq Ku(z)$ from (5) in this case, and so we obtain by (3), Lemma 2 and Remark 1 that

$$\begin{aligned} \prod_{j=1}^q \left(\frac{\|\mathbf{a}_j\| \|f(z)\|}{|(\mathbf{a}_j, f(z))|} \right)^{\omega(j)} &\leq K \prod_{\nu=1}^{N+1} \left(\frac{\|\mathbf{a}_{j_\nu}\| \|f(z)\|}{|F_{j_\nu}(z)|} \right)^{\omega(j_\nu)} \\ &\leq K \prod_{j_\nu \in B} \frac{\|\mathbf{a}_{j_\nu}\| \|f(z)\|}{|F_{j_\nu}(z)|} \\ &\leq K \frac{u(z)^{n+1}}{\prod_{j_\nu \in B} |F_{j_\nu}(z)|} \\ &= K \frac{u(z)^{n+1}}{|W(z)|} \cdot \frac{|W_B(z)|}{\prod_{j_\nu \in B} |F_{j_\nu}(z)|}, \end{aligned} \tag{7}$$

where W_B is the Wronskian of $\{F_{j_\nu} : j_\nu \in B\}$. We know that $W_B = cW$ ($c \neq 0$, constant).

From (6) and (7) we have the inequality

$$\sum_{j=1}^q \omega(j) \log \frac{\|\mathbf{a}_j\| \|f(z)\|}{|(\mathbf{a}_j, f(z))|} \leq \log^+ \frac{u(z)^{n+1}}{|W(z)|} + \sum_{(j_1, \dots, j_q)} \log^+ \frac{|W_B(z)|}{\prod_{j_\nu \in B} |F_{j_\nu}(z)|} + O(1), \tag{8}$$

where the summation $\sum_{(j_1, \dots, j_q)}$ is taken over all permutations of the elements of Q which appear when z varies in $C - \{0\}$.

Integrating both sides of (8) with respect to φ ($z = re^{i\varphi}$) from 0 to 2π , we obtain the inequality

$$\sum_{j=1}^q \omega(j) m(r, \mathbf{a}_j, f) \leq m(r, \mathbf{e}_{n+1}, f^*) + S(r, f)$$

since

$$\frac{1}{2\pi} \int_0^{2\pi} \log^+ \frac{u(re^{i\varphi})^{n+1}}{|W(re^{i\varphi})|} d\varphi = m(r, \mathbf{e}_{n+1}, f^*) + O(1); \tag{9}$$

$$\frac{1}{2\pi} \int_0^{2\pi} \log^- \frac{|W_B(re^{i\varphi})|}{\prod_{j_\nu \in B} |F_{j_\nu}(re^{i\varphi})|} d\varphi = S(r, f). \tag{10}$$

We obtain (9) from the definition of $m(r, \mathbf{e}_{n+1}, f^*)$ and (10) as in [1], pp.12-15.

(b) By using Proposition 2 and (2), we obtain from (a) that

$$\sum_{j=1}^q \omega(j) m(r, \mathbf{a}_j, f) + N(r, \frac{1}{W}) \leq T(r, f) + nt(r, f) + S(r, f)$$

and so

$$\sum_{j=1}^q \theta \omega(j) m(r, \mathbf{a}_j, f) + \theta N(r, \frac{1}{W}) \leq \theta T(r, f) + n\theta t(r, f) + S(r, f). \quad (11)$$

Adding

$$\sum_{j=1}^q (1 - \theta \omega(j)) m(r, \mathbf{a}_j, f)$$

to both sides of (11) and using (2), we obtain

$$\begin{aligned} \sum_{j=1}^q m(r, \mathbf{a}_j, f) + \theta N(r, \frac{1}{W}) + \sum_{j=1}^q (1 - \theta \omega(j)) N(r, \mathbf{a}_j, f) \\ \leq (q + \theta - \sum_{j=1}^q \theta \omega(j)) T(r, f) + n\theta t(r, f) + S(r, f). \end{aligned} \quad (12)$$

By (a) and (b) of Lemma 1 and from (12) we obtain

$$\sum_{j=1}^q m(r, \mathbf{a}_j, f) + \theta N(r, \frac{1}{W}) \leq (2N - n + 1) T(r, f) - n\theta \{T(r, f) - t(r, f)\} + S(r, f)$$

and by using Lemma 1 (c) and Proposition 1 (b)

$$\begin{aligned} \sum_{j=1}^q m(r, \mathbf{a}_j, f) + \frac{N+1}{n+1} N(r, \frac{1}{W}) &\leq (2N - n + 1) T(r, f) - n \frac{N+1}{n+1} \{T(r, f) - t(r, f)\} + S(r, f) \\ &= (N - n + \frac{N+1}{n+1}) T(r, f) + (N + 1 - \frac{N+1}{n+1}) t(r, f) + S(r, f). \end{aligned}$$

4 Second fundamental inequality 2

In this section we suppose that $X(0)$ is not empty.

Theorem 2. Let $\mathbf{a}_1, \dots, \mathbf{a}_q$ ($2N - n + 1 < q < \infty$) be any elements of X and suppose that

$$X(0) \cap \{\mathbf{a}_1, \dots, \mathbf{a}_q\} = \{\mathbf{a}_1, \dots, \mathbf{a}_\ell\},$$

where $1 \leq \ell \leq \#X(0)$. Let s be the maximum number of linearly independent vectors in $\{\mathbf{a}_1, \dots, \mathbf{a}_\ell\}$. Then,

$$(a) \sum_{j=1}^q \omega(j) m(r, \mathbf{a}_j, f) + N(r, \frac{1}{W}) \leq (s+1) T(r, f) + (n-s) t(r, f) + S(r, f);$$

$$(b) \sum_{j=1}^q m(r, \mathbf{a}_j, f) + \frac{N+1}{n+1} N(r, \frac{1}{W}) \leq (N - n + n(s)) T(r, f) + (N + 1 - n(s)) t(r, f) + S(r, f),$$

where W is the Wronskian of f_1, \dots, f_{n-1} and $n(s) = (s+1)(N+1)/(n+1)$.

Proof. (a) Put

$$(\mathbf{a}_j, f) = F_j \quad \text{and} \quad E_j = \|\mathbf{a}_j\| \|f\| / |F_j| (\geq 1) \quad (j=1, \dots, q).$$

For any $z (\neq 0)$ arbitrarily fixed, let

$$|F_{j_1}(z)| \leq |F_{j_2}(z)| \leq \dots \leq |F_{j_q}(z)|,$$

where $1 \leq j_1, \dots, j_q \leq q$ and j_1, \dots, j_q are distinct. Then there is a positive constant K such that

$$\|f(z)\| \leq K |F_{j_\nu}(z)| \quad (\nu = N+1, \dots, q), \quad (13)$$

$$|F_{j_\nu}(z)| \leq K \|f(z)\| \quad (\nu = 1, \dots, q) \quad (14)$$

and for any $j_\nu \geq \ell + 1$

$$\begin{aligned} \|f(z)\| &\leq K(|f_1(z)|^2 + \cdots + |f_n(z)|^2 + |F_{j_\nu}(z)|^2)^{1/2}, \\ &\leq \begin{cases} K(n+1)^{1/2}u(z) & \text{if } |F_{j_\nu}(z)| \leq u(z) \\ K(n+1)^{1/2}|F_{j_\nu}(z)| & \text{otherwise} \end{cases} \end{aligned} \tag{15}$$

since the $n+1$ -th element of \mathbf{a}_{j_ν} is different from zero if $j_\nu \geq \ell+1$. (From now on we denote by K a positive constant, which may be different from each other when it appears.)

We have by (13) and by Lemma 2

$$\begin{aligned} \Pi_{j=1}^q \left(\frac{\|\mathbf{a}_j\| \|f(z)\|}{|(\mathbf{a}_j, f(z))|} \right)^{\omega(j)} &\leq K \Pi_{\nu=1}^{N+1} \left(\frac{\|\mathbf{a}_{j_\nu}\| \|f(z)\|}{|F_{j_\nu}(z)|} \right)^{\omega(j_\nu)} \\ &\leq K \Pi_{j_\nu \in B} \frac{\|\mathbf{a}_{j_\nu}\| \|f(z)\|}{|F_{j_\nu}(z)|} \equiv I. \end{aligned} \tag{16}$$

(A) The case when $\{\mathbf{a}_{j_\nu} : j_\nu \in B\} \cap X(0) = \phi$

(A-1) If for any $j_\nu \in B$

$$u(z) < |F_{j_\nu}(z)|,$$

we have by (15)

$$I \leq K. \tag{17}$$

(A-2) If for some $j_\nu \in B$

$$|F_{j_\nu}(z)| \leq u(z),$$

we have by (15)

$$I \leq K \frac{u(z)^{n+1}}{\prod_{j_\nu \in B} |F_{j_\nu}(z)|} = K \frac{u(z)^{n+1}}{|W(z)|} \cdot \frac{|W_B(z)|}{\prod_{j_\nu \in B} |F_{j_\nu}(z)|}, \tag{18}$$

where W_B is the Wronskian of $\{F_{j_\nu} : j_\nu \in B\}$. Note that $W_B = cW$ ($c \neq 0$, constant).

(B) The case when $\{\mathbf{a}_{j_\nu} : j_\nu \in B\} \cap X(0) \neq \phi$. Suppose without loss of generality that

$$X(0) \cap \{\mathbf{a}_{j_\nu} : j_\nu \in B\} = \{\mathbf{a}_1, \dots, \mathbf{a}_k\},$$

Then, $1 \leq k \leq s$. We suppose without loss of generality that $\mathbf{a}_1, \dots, \mathbf{a}_s$ are linearly independent.

(B-1) If for any $j_\nu \in B, j_\nu \neq 1, \dots, k$

$$u(z) < |F_{j_\nu}(z)|,$$

we have by (14) and (15)

$$I \leq K \frac{\|f(z)\|^k}{|F_1(z) \cdots F_k(z)|} \leq K \frac{\|f(z)\|^s}{|F_1(z) \cdots F_s(z)|}. \tag{19}$$

We can find $\mathbf{e}_{i_{s+1}}, \dots, \mathbf{e}_{i_n}$ ($i_{s+1}, \dots, i_n \leq n$) such that the vectors

$$\mathbf{a}_1, \dots, \mathbf{a}_s, \mathbf{e}_{i_{s+1}}, \dots, \mathbf{e}_{i_n}$$

are linearly independent.

From (19) and by the inequalities

$$|f_{n+1}(z)| \leq \|f(z)\|, \quad |f_{j_\nu}(z)| \leq u(z) \quad (j = s+1, \dots, n)$$

and

$$W(F_1, \dots, F_s, f_{i_{s+1}}, \dots, f_{i_n}, f_{n+1})(z) = KW(z)$$

we have

$$I \leq K \frac{\|f(z)\|^{s+1} u(z)^{n-s}}{|W(z)|} \cdot \frac{|W(F_1, \dots, F_s, f_{i_{s+1}}, \dots, f_{i_n}, f_{n+1})(z)|}{|F_1(z) \cdots F_s(z) f_{i_{s+1}}(z) \cdots f_{i_n}(z) f_{n+1}(z)|} \tag{20}$$

(B-2) If for some $j_v \in B, j_v \neq 1, \dots, k$

$$|F_{j_v}(z)| \leq u(z),$$

we have by (15)

$$I \leq K \frac{u(z)^{n+1}}{\prod_{j_v \in B} |F_{j_v}(z)|} = K \frac{u(z)^{n+1}}{|W(z)|} \cdot \frac{|W_B(z)|}{\prod_{j_v \in B} |F_{j_v}(z)|} \tag{21}$$

Since

$$u(z)^{n+1} \leq \|f(z)\|^{s+1} u(z)^{n-s},$$

we have from (16),(17),(18),(20) and (21)

$$\begin{aligned} \sum_{j=1}^q \omega(j) \log \frac{\|\mathbf{a}_j\| \|f(z)\|}{|F_j(z)|} &\leq \log^+ \frac{\|f(z)\|^{s+1} u(z)^{n-s}}{|W(z)|} + \sum_{(j, \dots, j_q)} \log^+ \frac{|W_B(z)|}{\prod_{j_v \in B} |F_{j_v}(z)|} \\ &+ \sum_{(j, \dots, j_q)} \log^+ \frac{|W(F_1, \dots, F_s, f_{i_{s+1}}, \dots, f_{i_n}, f_{n+1})(z)|}{|F_1(z) \cdots F_s(z) f_{i_{s+1}}(z) \cdots f_{i_n}(z) f_{n+1}(z)|} + O(1), \end{aligned} \tag{22}$$

where $\sum_{(j_1, \dots, j_q)}$ is taken over all permutations of the elements of Q which appear when z varies in $C - \{0\}$. Since

$$\log^- \frac{\|f(z)\|^{s+1} u(z)^{n-s}}{|W(z)|} = \log \max \{ \|f(z)\|^{s+1} u(z)^{n-s}, |W(z)| \} - \log |W(z)|$$

and

$$|W(z)| = |f_1(z) \cdots f_{n-1}(z)| \frac{|W(z)|}{|f_1(z) \cdots f_{n-1}(z)|} \leq \|f(z)\|^{s+1} u(z)^{n-s} \frac{|W(z)|}{|f_1(z) \cdots f_{n-1}(z)|},$$

integrating both sides of (22) with respect to φ from 0 to 2π ($z = re^{i\varphi}$) we obtain

$$\sum_{j=1}^q \omega(j) m(r, \mathbf{a}_j, f) + N(r, \frac{1}{W}) \leq (s+1) T(r, f) + (n-s) t(r, f) + S(r, f).$$

(b) We obtain (b) of this theorem from (a) as in the case of Theorem 1.

5 Subset of C^{n+1} in subgeneral position

To obtain a refinement of the defect relation for holomorphic curves (see, for example, Theorem 3.3.8 and Theorem 3.3.10 in [3]), we need some new notions on subsets of C^{n+1} in subgeneral position.

Let X be a subset of C^{n+1} in N -subgeneral position such that the number of elements of X is not smaller than $N+1$ as in Section 1 and

$$X(0) = \{ \mathbf{a} = (a_1, a_2, \dots, a_n, a_{n+1}) \in X : a_{n+1} = 0 \}$$

as in Section 2. Suppose that $N > n$ in this section.

Let $V_{X(0)}$ be the vector space generated by the elements of $X(0)$. Remember that the number of elements of $X(0)$ is not greater than N and the dimension of $V_{X(0)}$ is not greater than n .

Definition 3. We say that

(i) X is maximal in the sense of subgeneral position if for any Y in N -subgeneral position such that $X \subset Y \subset C^{n+1}, X = Y$.

(ii) X is p -maximal (in the sense of subgeneral position) if X is maximal in the sense of subgeneral position and $\dim V_{X(0)} = p$.

Note that X is p -maximal in the sense of general position if $N = n$ ([8], Definition 1).

The purpose of this section is to give examples of p -maximal subsets of C^{n+1} in the sense of subgeneral

position.

We shall use the following lemma for our purpose.

Lemma 3. For any vector $(\alpha_1, \dots, \alpha_n, \alpha_{n+1})$ of \mathbf{C}^{n+1} which is neither equal to $\mathbf{0}$, $\alpha \mathbf{e}_1$ nor $\beta \mathbf{e}_{n-1}$, there exist complex numbers a_1, \dots, a_n different from each other for which the vectors

$$(\alpha_1, \dots, \alpha_{n+1}), (a_1^n, a_1^{n-1}, \dots, a_1, 1), \dots, (a_n^n, a_n^{n-1}, \dots, a_n, 1)$$

are linearly dependent, where α and β are any complex numbers ([8], Lemma 3).

Proposition 3. For $n \geq 2$, the set

$$A = \{(a^n, a^{n-1}, \dots, a, 1) : a \in \mathbf{C}\} \cup \{k\mathbf{e}_1 : k = 1, \dots, N-n+1\} \cup \{\mathbf{e}_2\}$$

is 2-maximal.

Proof. It is easy to see that A is in N -subgeneral position and $\dim V_{A(0)} = 2$. We have only to prove that for any vector

$$\mathbf{x} = (\alpha_1, \dots, \alpha_n, \alpha_{n+1}) (\neq \mathbf{0})$$

which does not belong to A , $A \cup \{\mathbf{x}\}$ is not in N -subgeneral position.

(a) The case when $\alpha_1 \neq 0, \alpha_2 = \dots = \alpha_{n+1} = 0$.

For any distinct numbers a_1, \dots, a_{n-1} , we can not find $n+1$ linearly independent vectors in the following $N+1$ vectors

$$(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j=1, \dots, n-1), k\mathbf{e}_1 (k=1, \dots, N-n+1), \mathbf{x}.$$

(b) The case when $\alpha_1 = \dots = \alpha_n = 0, \alpha_{n+1} \neq 0$.

For any distinct numbers a_1, \dots, a_{n-2} different from zero, we can not find $n+1$ linearly independent vectors in the following $N+1$ vectors

$$(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j=1, \dots, n-2), k\mathbf{e}_1 (k=1, \dots, N-n+1), \mathbf{e}_{n+1}, \mathbf{x}.$$

(c) The case when $\alpha_1 = \alpha_3 = \dots = \alpha_{n+1} = 0$ and $\alpha_2 \neq 0$.

For any distinct numbers a_1, \dots, a_{n-2} , we can not find $n+1$ linearly independent vectors in the following $N+1$ vectors

$$(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j=1, \dots, n-2), k\mathbf{e}_1 (k=1, \dots, N-n+1), \mathbf{e}_2, \mathbf{x}.$$

(d) The case when $\mathbf{x} \neq \alpha \mathbf{e}_1, \beta \mathbf{e}_2, \gamma \mathbf{e}_{n+1}$.

By Lemma 3, there are $n-1$ distinct numbers a_1, \dots, a_{n-1} such that the vectors

$$(\alpha_2, \dots, \alpha_{n+1}), (a_j^{n-1}, \dots, a_j, 1) (j=1, \dots, n-1)$$

are linearly dependent. Then, we can not find $n+1$ linearly independent vectors in the following $N+1$ vectors

$$(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j=1, \dots, n-1), k\mathbf{e}_1 (k=1, \dots, N-n+1), \mathbf{x}.$$

since $(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j=1, \dots, n-1), \mathbf{e}_1$ and \mathbf{x} are linearly dependent.

From (a), (b), (c) and (d) it is proved that $A \cup \{\mathbf{x}\}$ is not in N -subgeneral position.

Proposition 4. For $n \geq 2$, the set

$$A_p = \{(a^n, a^{n-1}, \dots, a, a^p+1) : a \in \mathbf{C}\} \cup \{k\mathbf{e}_1 : k = 1, \dots, N-n+1\} \cup \{\mathbf{e}_2\}$$

is $p+2$ -maximal, where $1 \leq p \leq n-2$.

Proof. It is easy to see that A_p is in N -subgeneral position and $\dim V_{A_p(0)} = p+2$ since the $p+2$ vectors

$$\mathbf{e}_1, \mathbf{e}_2, \{(a^n, a^{n-1}, \dots, a, a^p+1) : a^p+1=0\}$$

are linearly independent.

We have only to prove that for any vector

$$\mathbf{x} = (\alpha_1, \alpha_2, \dots, \alpha_{n-1}) (\neq \mathbf{0})$$

which does not belong to A_p , $A_p \cup \{\mathbf{x}\}$ is not in N -subgeneral position. In fact the vector

$$\mathbf{y} = (\alpha_1, \dots, \alpha_n, \alpha_{n-1} - \alpha_{n-1-p})$$

does not belong to A and $A \cup \{\mathbf{y}\}$ is not in N -subgeneral position by Proposition 3 and so $A_p \cup \{\mathbf{x}\}$ is not in N -subgeneral position.

For $n \geq 2$, let

$$B = \{(a^n, a^{n-1}, \dots, a, 1) : a \in \mathbf{C}\} \cup \{ke_1 : k = 1, \dots, N-n+1\} \cup \{e_1 + e_2\}.$$

Then,

Proposition 5. The set B is 2-maximal.

Proof. It is easy to see that B is in N -subgeneral position and $\dim V_{B(0)} = 2$. We have only to prove that for any vector

$$(\alpha_1, \dots, \alpha_n, \alpha_{n+1}) (\neq \mathbf{0})$$

which does not belong to B , $B \cup \{\mathbf{x}\}$ is not in N -subgeneral position.

(a) The case when $\alpha_1 \neq 0, \alpha_2 = \dots = \alpha_{n-1} = 0$.

(b) The case when $\alpha_1 = \dots = \alpha_n = 0, \alpha_{n+1} \neq 0$.

In these two cases we can prove that $A \cup \{\mathbf{x}\}$ is not in N -subgeneral position as in the proof of Proposition 3.

(c) The case when $\mathbf{x} = \alpha_1 e_1 + \alpha_2 e_2$ ($\alpha_2 \neq 0$).

For any distinct numbers a_1, \dots, a_{n-2} , we can not find $n+1$ linearly independent vectors in the following $N+1$ vectors

$$(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j = 1, \dots, n-2), ke_1 (k = 1, \dots, N-n+1), e_1 + e_2, \mathbf{x}.$$

(d) The case when $\mathbf{x} \neq \alpha e_1 + \beta e_2$ ($|\alpha| + |\beta| \neq 0$), γe_{n-1} .

By Lemma 3, there are $n-1$ distinct numbers a_1, \dots, a_{n-1} such that the vectors

$$(\alpha_2, \dots, \alpha_{n+1}), (a_1^{n-1}, \dots, a_1, 1), \dots, (a_{n-1}^{n-1}, \dots, a_{n-1}, 1)$$

are linearly dependent. Then, we can not find $n+1$ linearly independent vectors in the following $N+1$ vectors

$$(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j = 1, \dots, n-1), ke_1 (k = 1, \dots, N-n+1), \mathbf{x}$$

since e_1, \mathbf{x} and $(a_j^n, a_j^{n-1}, \dots, a_j, 1) (j = 1, \dots, n-1)$ are linearly dependent.

From (a), (b), (c) and (d), $A \cup \{\mathbf{x}\}$ is not in N -subgeneral position.

Proposition 6. For $n \geq 2$, the set

$$B_1 = \{(1, a^{n-1}, \dots, a, a^n) : a \in \mathbf{C}\} \cup \{ke_{n-1} : k = 1, \dots, N-n+1\} \cup \{e_2 + e_{n+1}\}$$

is 1-maximal.

Proof. It is easy to see that B_1 is in N -subgeneral position and $\dim V_{B_1(0)} = 1$. We have only to prove that for any vector

$$\mathbf{x} = (\alpha_1, \alpha_2, \dots, \alpha_n, \alpha_{n+1}) (\neq \mathbf{0})$$

which does not belong to B_1 , $B_1 \cup \{\mathbf{x}\}$ is not in N -subgeneral position. Put

$$\mathbf{y} = (\alpha_{n+1}, \alpha_2, \dots, \alpha_n, \alpha_1).$$

Then, \mathbf{y} is not equal to $\mathbf{0}$ and does not belong to B given just before Proposition 5. By Proposition 5, $B \cup \{\mathbf{y}\}$ is not in N -subgeneral position, so that $B_1 \cup \{\mathbf{x}\}$ is not in N -subgeneral position.

Theorem 3. Suppose $N > n \geq 2$. For any p ($1 \leq p \leq n$), there is a p -maximal subset of \mathbf{C}^{n+1} in the sense of subgeneral position.

Remark 2. It is easy to see that any maximal subset of \mathbf{C}^2 in the sense of subgeneral position is 1-maximal.

Problem. Is there a 0-maximal subset of \mathbf{C}^n ($n \geq 3$) in the sense of subgeneral position?

6 Defect relation

Let f, X and $X(0)$ etc. be as in Section 1,2,3 or 4.

Theorem 4 (defect relation). For any q elements $\mathbf{a}_1, \dots, \mathbf{a}_q \in X$ ($2N - n + 1 < q < \infty$),

$$(a-1) \sum_{j=1}^q \omega(j) \delta_n(\mathbf{a}_j, f) \leq p + 1 + (n - p)\Omega;$$

$$(a-2) \sum_{j=1}^q \omega(j) \delta(\mathbf{a}_j, f) + \xi \leq p + 1 + (n - p)\Omega;$$

$$(b-1) \sum_{j=1}^q \delta_n(\mathbf{a}_j, f) \leq 2N - n + 1 - \frac{N+1}{n+1}(n - p)(1 - \Omega);$$

$$(b-2) \sum_{j=1}^q \delta(\mathbf{a}_j, f) + \frac{N+1}{n+1} \xi \leq 2N - n + 1 - \frac{N+1}{n+1}(n - p)(1 - \Omega),$$

where p is the maximum number of linearly independent vectors in $X(0) \cap \{\mathbf{a}_1, \dots, \mathbf{a}_q\}$ ($0 \leq p \leq n$) and

$$\xi = \begin{cases} \limsup_{r \rightarrow \infty} \frac{N(r, 1/W)}{T(r, f)} & \text{if } f \text{ has finite order,} \\ \liminf_{r \rightarrow \infty} \frac{N(r, 1/W)}{T(r, f)} & \text{otherwise.} \end{cases}$$

We easily obtain this theorem from Theorem 1 when $p = 0$ or from Theorem 2 when p is positive. We obtain (a-1) and (b-1) by applying Lemma 3.2.13 in [3], p.102.

Remark 3. $p + 1 + (n - p)\Omega \leq n + 1$ and $2N - n + 1 - (N + 1)(n - p)(1 - \Omega)/(n + 1) \leq 2N - n + 1$. The equalities hold if and only if $p = n$ or $\Omega = 1$ in these two inequalities.

The number " $2N - n + 1 - (N + 1)(n - p)(1 - \Omega)/(n + 1)$ " increases with p ($0 \leq p \leq n$) when $\Omega < 1$. If p increases to n when q tends to ∞ , the bound " $2N - n + 1 - (N + 1)(n - p)(1 - \Omega)/(n + 1)$ " of Theorem 4 (b-1), (b-2) increases to $2N - n + 1$ for any $\Omega < 1$. But, as Theorem 3 shows, there exist examples of X for which p does not increase to n even when q tends to ∞ . By the way, Example 1 gives a holomorphic curve for which $\Omega < 1$.

Theorem 5(Defect relation). Let X be a p -maximal subset of \mathbf{C}^{n+1} in N -subgeneral position. Then, we have

$$(I) \sum_{\mathbf{a} \in X} \delta_n(\mathbf{a}, f) \leq 2N - n + 1 - \frac{N+1}{n+1}(n - p)(1 - \Omega);$$

$$(II) \sum_{\mathbf{a} \in X} \delta(\mathbf{a}, f) + \frac{N+1}{n+1} \xi \leq 2N - n + 1 - \frac{N+1}{n+1}(n - p)(1 - \Omega).$$

Proof. (I) When $\#\{\mathbf{a} \in X : \delta_n(\mathbf{a}, f) > 0\} < \infty$, there is nothing to prove by Theorem 4 (b-1). When $\#\{\mathbf{a} \in X : \delta_n(\mathbf{a}, f) > 0\} = \infty$, it is countable by Theorem 4 (b-1). Let

$$\{\mathbf{a} \in X : \delta_n(\mathbf{a}, f) > 0\} = \{\mathbf{a}_1, \mathbf{a}_2, \dots\},$$

and without loss of generality we put

$$X(0) \cap \{\mathbf{a}_1, \mathbf{a}_2, \dots\} = \{\mathbf{a}_1, \dots, \mathbf{a}_k\} \quad (0 \leq k \leq N).$$

Let

$$\dim V_{\{\mathbf{a}_1, \dots, \mathbf{a}_k\}} = s \quad (0 \leq s \leq p).$$

Then, by Theorem 4 (b-1), for any q

$$\sum_{j=1}^q \delta_n(\mathbf{a}_j, f) \leq 2N - n + 1 - \frac{N+1}{n+1}(n-s)(1-\Omega) \leq 2N - n + 1 - \frac{N+1}{n+1}(n-p)(1-\Omega)$$

and letting q tend to ∞ we have

$$\sum_{\mathbf{a} \in X} \delta_n(\mathbf{a}, f) = \sum_{j=1}^{\infty} \delta_n(\mathbf{a}_j, f) \leq 2N - n + 1 - \frac{N+1}{n+1}(n-p)(1-\Omega)$$

since p is independent of q .

(II) We obtain (II) of Theorem 5 by using Theorem 4 (b-2) instead of (b-1) as in the case of (I).

7 Holomorphic curves with maximal deficiency sum

Let $f = [f_1, \dots, f_{n+1}]$, X and $X(0)$ etc. be as in the previous sections.

Lemma 4. If

$$\delta(\mathbf{e}_j, f^*) = 1 \quad (j = 1, \dots, n+1),$$

then f^* is of regular growth and $\rho(f^*)$ is either ∞ or a positive integer (see [6], Théorème 3).

Lemma 5. For any $\mathbf{a}_1, \dots, \mathbf{a}_q$ ($2N - n + 1 < q < \infty$) in $X - X(0)$ and for $r \geq 1$

$$\sum_{j=1}^q m(r, \mathbf{a}_j, f) \leq \frac{2N - n + 1}{n + 1} m(r, \mathbf{e}_{n+1}, f^*) + (N - n)T(r, f) + S(r, f).$$

Proof. From Theorem 1 (a), we have

$$\sum_{j=1}^q \theta \omega(j) m(r, \mathbf{a}_j, f) \leq \theta m(r, \mathbf{e}_{n+1}, f^*) + S(r, f).$$

Adding $\sum_{j=1}^q (1 - \theta \omega(j)) T(r, f)$ to both sides of this inequality, we obtain

$$\sum_{j=1}^q m(r, \mathbf{a}_j, f) + \sum_{j=1}^q (1 - \theta \omega(j)) N(r, \mathbf{a}_j, f) \leq \theta m(r, \mathbf{e}_{n+1}, f^*) + T(r, f) \sum_{j=1}^q (1 - \theta \omega(j)) + S(r, f).$$

Since $N(r, \mathbf{a}_j, f) \geq 0$ for $r \geq 1$ and by (a), (b), (c) of Lemma 1, we obtain our lemma.

Theorem 6. Suppose that X is p -maximal in the sense of N -subgeneral position, $\rho(f) < \infty$ and

$$\sum_{\mathbf{a} \in X} \delta(\mathbf{a}, f) = 2N - n + 1.$$

Then, the following statements hold:

- (a) $p = n$ or $\Omega = 1$.
- (b) $\xi = 0$.
- (c) $\frac{n+1}{2N-n+1} \leq \liminf_{r \rightarrow \infty} \frac{T(r, f^*)}{T(r, f)} \leq \limsup_{r \rightarrow \infty} \frac{T(r, f^*)}{T(r, f)} \leq 1 + n\Omega$.
- (d) In particular, if

$$\delta(\mathbf{e}_j, f) = 1 \quad (j = 1, \dots, n),$$

then $\rho(f)$ is a positive integer and f is of regular growth.

Proof. (a) and (b). These are trivial by Theorem 5 (II).

(c). Since $\#X(0) \leq N$,

$$\sum_{\mathbf{a} \in X - X(0)} \delta(\mathbf{a}, f) \geq N - n + 1. \tag{23}$$

From (23) and Lemma 5, we have

$$1 \leq \frac{2N - n + 1}{n + 1} \liminf_{r \rightarrow \infty} \frac{T(r, f^*)}{T(r, f)}$$

and from Proposition 2,

$$\limsup_{r \rightarrow \infty} \frac{T(r, f^*)}{T(r, f)} \leq 1 + n\Omega.$$

Combining these two inequalities we obtain (c). Note that

$$S(r, f) = O(\log r) \quad (r \rightarrow \infty)$$

since $\rho(f) < \infty$.

(d). Since for $j=1, \dots, n$

$$\begin{aligned} 0 \leq \limsup_{r \rightarrow \infty} \frac{N(r, \mathbf{e}_j, f^*)}{T(r, f^*)} &\leq \limsup_{r \rightarrow \infty} \frac{(n+1)N(r, \mathbf{e}_j, f)}{T(r, f^*)} \\ &= (n+1) \limsup_{r \rightarrow \infty} \frac{N(r, \mathbf{e}_j, f)}{T(r, f)} \cdot \frac{T(r, f)}{T(r, f^*)} \\ &\leq (2N-n+1) \limsup_{r \rightarrow \infty} \frac{N(r, \mathbf{e}_j, f)}{T(r, f)} = 0 \end{aligned}$$

by (c) and by the assumption that $\delta(\mathbf{e}_j, f) = 1$ ($j=1, \dots, n$) and since

$$0 \leq \limsup_{r \rightarrow \infty} \frac{N(r, \mathbf{e}_{n+1}, f^*)}{T(r, f^*)} \leq \limsup_{r \rightarrow \infty} \frac{N(r, 1/W)}{T(r, f)} \cdot \frac{T(r, f)}{T(r, f^*)} \leq \frac{2N-n+1}{n+1} \xi = 0$$

by (b), we have

$$\delta(\mathbf{e}_j, f^*) = 1 - \limsup_{r \rightarrow \infty} \frac{N(r, \mathbf{e}_j, f^*)}{T(r, f^*)} = 1 \quad (j=1, \dots, n+1).$$

Then, we have (d) by Lemma 4.

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