

## On Holomorphic Curves of Infinite Order with Maximal Deficiency Sum

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Let  $f: \mathbf{C} \rightarrow P^n(\mathbf{C})$  be a transcendental holomorphic curve from  $\mathbf{C}$  into the  $n$ -dimensional complex projective space  $P^n(\mathbf{C})$  and  $X$  a subset of  $\mathbf{C}^{n+1}$  in general position. Then, it is known that if  $f$  is non-degenerate,  $(*) \sum_{a \in X} \delta(a, f) \leq n+1$ . As in the case of meromorphic functions, the following problem is interesting:

**Problem.** What properties does  $f$  possess if the equality holds in  $(*)$ ?

Concerning this problem, we gave several results for  $f$  of finite order in [9]. For example, "If the equality holds in  $(*)$  and if  $\delta(e_j, f) = 1$  ( $j = 1, \dots, n$ ), then  $f$  is of regular growth and the order of  $f$  is a positive integer."

In this paper, we shall give similar results for  $f$  of infinite order to those for holomorphic curves of finite order obtained in [9].

### 1. Introduction

Let

$$f: \mathbf{C} \rightarrow P^n(\mathbf{C})$$

be a holomorphic curve from  $\mathbf{C}$  into the  $n$ -dimensional complex projective space  $P^n(\mathbf{C})$ , where  $n$  is a positive integer, and let

$$(f_1, \dots, f_{n+1}) : \mathbf{C} \rightarrow \mathbf{C}^{n+1} - \{0\}$$

be a reduced representation of  $f$ . We then write  $f = [f_1, \dots, f_{n+1}]$ .

For a vector  $\mathbf{a} = (a_1, \dots, a_{n+1})$  in  $\mathbf{C}^{n+1}$ , we write

$$(\mathbf{a}, f) = \sum_{j=1}^{n+1} a_j f_j \quad \text{and} \quad \|\mathbf{a}\| = \left\{ \sum_{j=1}^{n+1} |a_j|^2 \right\}^{1/2}$$

and put

$$\|f(z)\| = \left\{ \sum_{j=1}^{n+1} |f_j(z)|^2 \right\}^{1/2}.$$

Then we define as usual the characteristic function of  $f$  as follows.

$$T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log \|f(re^{i\theta})\| d\theta - \log \|f(0)\|.$$

In addition, put

$$U(z) = \max_{1 \leq j \leq n+1} |f_j(z)|,$$

then

$$U(z) \leq \|f(z)\| \leq (n+1)^{1/2} U(z)$$

and we have

$$(1) \quad T(r, f) = \frac{1}{2\pi} \int_0^{2\pi} \log U(re^{i\theta}) d\theta + O(1) \quad (\text{see [1]}).$$

We suppose that  $f$  is transcendental; that is to say,

$$\lim_{r \rightarrow \infty} \frac{T(r, f)}{\log r} = +\infty.$$

We denote the order of  $f$  by  $\rho(f)$  and the lower order of  $f$  by  $\mu(f)$  respectively:

$$\rho(f) = \limsup_{r \rightarrow \infty} \frac{\log T(r,f)}{\log r} \quad \text{and} \quad \mu(f) = \liminf_{r \rightarrow \infty} \frac{\log T(r,f)}{\log r}.$$

It is said that  $f$  is of regular growth if  $\rho(f) = \mu(f)$ .

We write for  $\mathbf{a} = (a_1, \dots, a_{n+1})$  in  $\mathbf{C}^{n+1} - \{0\}$  such that  $(\mathbf{a}, f) \neq 0$

$$m(r, \mathbf{a}, f) = \frac{1}{2\pi} \int_0^{2\pi} \log \frac{\|\mathbf{a}\| \|f\|}{|(\mathbf{a}, f)|} d\theta \quad \text{and} \quad N(r, \mathbf{a}, f) = N(r, \frac{1}{(\mathbf{a}, f)}).$$

Then we have

$$(2) \quad T(r, f) = N(r, \mathbf{a}, f) + m(r, \mathbf{a}, f) + O(1)$$

(the first fundamental theorem (see [10], p.76)).

We call the quantity

$$\begin{aligned} \delta(\mathbf{a}, f) &= 1 - \limsup_{r \rightarrow \infty} \frac{N(r, \mathbf{a}, f)}{T(r, f)} \\ &= \liminf_{r \rightarrow \infty} \frac{m(r, \mathbf{a}, f)}{T(r, f)} \end{aligned}$$

the deficiency of  $\mathbf{a}$  with respect to  $f$ . It is easy to see that

$$0 \leq \delta(\mathbf{a}, f) \leq 1$$

by (2) since  $m(r, \mathbf{a}, f) \geq 0$ . Put

$$\lambda = \dim \{ (c_1, \dots, c_{n+1}) \in \mathbf{C}^{n+1} : c_1 f_1 + \dots + c_{n+1} f_{n+1} = 0 \},$$

then it is easy to see that  $0 \leq \lambda \leq n-1$ . We say that  $f$  is (linearly) non-degenerate if  $\lambda = 0$  and that  $f$  is (linearly) degenerate if  $\lambda > 0$ .

It is well-known that  $f$  is non-degenerate if and only if the Wronskian  $W(f_1, \dots, f_{n+1})$  of  $f_1, \dots, f_{n+1}$  is not identically equal to 0.

Let  $X$  be a subset of  $\mathbf{C}^{n+1} - \{0\}$  in general position; that is to say, any  $n+1$  vectors of  $X$  are linearly independent. The following inequality (the defect relation) is well-known (see [1]).

$$(3) \quad \sum_{\mathbf{a} \in X} \delta(\mathbf{a}, f) \leq n+1.$$

In [9], we gave several results for holomorphic curves of order finite for which the equality holds in (3). For example,

**Theorem A.** Suppose that  $f$  is non-degenerate and  $\rho(f) < \infty$ . If there are  $\mathbf{a}_1, \dots, \mathbf{a}_q$  in  $X$  ( $n+1 \leq q \leq \infty$ ) such that

- (i)  $\delta(\mathbf{a}_j, f) = 1$  ( $j=1, \dots, n$ );
- (ii)  $\sum_{j=1}^q \delta(\mathbf{a}_j, f) = n+1$ ,

then  $f$  is of regular growth and  $\rho(f)$  is equal to a positive integer.

The purpose of this paper is to give similar results to them when  $\rho(f) = \infty$ . From now on throughout the paper we suppose  $\rho(f) = \infty$ .

We prepare several lemmas in Section 2 and give a result for non-degenerate holomorphic curves in Section 3, which corresponds to Theorem A. In Section 4, we extend a result obtained in Section 3 to moving targets. In Section 5, we treat the degenerate case. We use the standard notation of the Nevanlinna theory of meromorphic functions ([2],[3]).

## 2. Lemma

We shall give some lemmas in this section for later use. Let  $f$  and  $X$  be as in Section 1. Note that  $\rho(f) = \infty$ .

We use the following notation to treat  $f$  of order infinite in the same way as in the case of holomorphic curves of finite order.

Let  $\alpha$  be any positive number. Then, we put

$$T_\alpha(r, f) = \int_1^r \frac{T(t, f)}{t^{1+\alpha}} dt$$

and we define  $N_\alpha(r, a, f)$  and  $m_\alpha(r, a, f)$  similarly. Further, put

$$\delta_\alpha(a, f) = \liminf_{r \rightarrow \infty} \frac{m_\alpha(r, a, f)}{T_\alpha(r, f)}$$

(see [7]). Let  $S(r, f)$  be any quantity satisfying

$$S_\alpha(r, f) = \int_1^r \frac{S(t, f)}{t^{1+\alpha}} dt = o(T_\alpha(r, f)) \quad (r \rightarrow \infty)$$

for any positive number  $\alpha$ .

**Lemma 1.** (a) For any  $0 < \alpha < \infty$

$$(4) \quad \limsup_{r \rightarrow \infty} \frac{\log T_\alpha(r, f)}{\log r} = \infty.$$

Conversely, if (4) holds for some finite  $\alpha > 0$ , then  $\rho(f) = \infty$ .

$$(b) \quad \mu(f) \geq \liminf_{r \rightarrow \infty} \frac{\log T_\alpha(r, f)}{\log r} \begin{cases} \geq \max(\mu(f) - \alpha, 0) & \text{if } \mu(f) < \infty; \\ = \infty & \text{otherwise.} \end{cases}$$

In fact, (a) is given in Proposition 1 ([7]) and we can prove (b) as in the case of (a).

**Lemma 2.**  $0 \leq \delta(a, f) \leq \delta_\alpha(a, f) \leq 1$  (see [7], Proposition 3, 3)).

**Lemma 3.** Let  $h(z)$  be a meromorphic function in  $|z| < \infty$ , then for any positive integer  $k$

$$m_\alpha(r, \frac{h^{(k)}}{h}) = O\left(\int_1^r \frac{\log^+ T(t, h)}{t^{1+\alpha}} dt\right).$$

In fact, for  $k=1$ , this is Lemme II in [3], pp.62-63. For  $k \geq 2$ , we note first that

$$(5) \quad \frac{h^{(k)}}{h} = \prod_{j=1}^k \frac{h^{(j)}}{h^{(j-1)}}.$$

By using

$$T(r, h^{(j-1)}) \leq 2T(r, h^{(j-2)}) + m\left(r, \frac{h^{(j-1)}}{h^{(j-2)}}\right) + O(1)$$

we have

$$(6) \quad \log^+ T(r, h^{(j-1)}) \leq \log^+ T(r, h^{(j-2)}) + m\left(r, \frac{h^{(j-1)}}{h^{(j-2)}}\right) + O(1).$$

and by applying the case  $k=1$  of this lemma to  $h^{(j-1)}$ , we have

$$(7) \quad m_\alpha(r, \frac{h^{(j)}}{h^{(j-1)}}) = O\left(\int_1^r \frac{\log^+ T(t, h^{(j-1)})}{t^{1+\alpha}} dt\right). \quad (j=1, \dots, k).$$

From (6) and (7) we obtain by induction

$$m_\alpha(r, \frac{h^{(j)}}{h^{(j-1)}}) = O\left(\int_1^r \frac{\log^+ T(t, h)}{t^{1+\alpha}} dt\right). \quad (j=1, \dots, k).$$

so that we have from (5)

$$m_\alpha(r, \frac{h^{(k)}}{h}) \leq \sum_{j=1}^k m_\alpha(r, \frac{h^{(j)}}{h^{(j-1)}}) + O(1) = O\left(\int_1^r \frac{\log^+ T(t, h)}{t^{1+\alpha}} dt\right)$$

**Lemma 4.** If there exist  $n+1$  elements  $a_1, \dots, a_{n+1}$  in  $X$  such that  $\delta_\alpha(a_j, f) = 1$  ( $j=1, \dots, n+1$ ) for any  $0 < \alpha < \infty$ , then  $f$  is of regular growth ([7], Corollaire 5).

**Lemma 5.** (a)  $T(r, f_k/f_j) < T(r, f) + O(1)$  ( $k \neq j$ ) ([1]).

(b) For any  $a, b$  in  $X$  such that  $(a, f) \neq 0$  and  $(b, f) \neq 0$ ,

$$T(r, (a, f)/(b, f)) < T(r, f) + O(1) \quad ([1]).$$

Put for any  $a_j \in X$  ( $j=1, \dots, n+1$ )

$$K_\alpha(f) = \limsup_{r \rightarrow \infty} \frac{\sum_{j=1}^{n+1} N_\alpha(r, a_j, f)}{T_\alpha(r, f)}$$

(see [7], Definition 5). Then we have the following

**Lemma 6.** If  $\mu(f) < \rho(f) = \infty$ , then for any  $\tau$  and  $\alpha$  such that

- (i)  $\tau$  is not an integer and  $\mu(f) < \tau$ ;
- (ii)  $0 < \alpha < \mu$  and  $\tau + \alpha < \infty$ ;
- (iii)  $[\tau] = [\tau + \alpha]$ ,

$$K_\alpha(f) \geq \frac{n+1}{n} \cdot \frac{|\sin \pi(\tau + \alpha)|}{K(\alpha, \tau) + |\sin \pi(\tau + \alpha)|},$$

where  $K(\alpha, \tau)$  is a positive number dependent only on  $\alpha$  and  $\tau$  ([7], Théorème 5).

Suppose now that  $f$  is non-degenerate. Let  $d(z)$  be an entire function such that the functions

$$f_j^{n+1}/d \quad (j=1, \dots, n) \quad \text{and} \quad W(f_1, \dots, f_{n+1})/d$$

are entire functions without common zeros.

**Definition ([8]).** We call the holomorphic curve induced by the mapping

$$(f_1^{n+1}, \dots, f_n^{n+1}, W(f_1, \dots, f_{n+1})): \mathbb{C} \rightarrow \mathbb{C}^{n+1}$$

the derived holomorphic curve of  $f$  and we write it by  $f^*$ :

$$f^* = [f_1^{n+1}/d, \dots, f_n^{n+1}/d, W(f_1, \dots, f_{n+1})/d].$$

**Lemma 7 ([8]).**  $T(r, f^*) \leq (n+1)T(r, f) - N(r, 1/d) + S(r, f)$ .

**Proof** (see [8], Lemma 3). Put  $h_j = f_j/f_1$ . Then,

$$\begin{aligned} S(r, f) &= \frac{1}{2\pi} \int_0^{2\pi} \log^+ \left| \frac{W(h'_2, \dots, h'_{n+1})}{h_2 \cdots h_{n+1}} \right| d\theta + O(1) \\ &\leq \sum_{k=1}^{n-1} \sum_{j=2}^{n+1} m(r, h_j^{(k)}/h_j) + O(1) \end{aligned}$$

and by Lemmas 3 and 5 (a) we have

$$S_*(r, f) = o(T_*(r, f)) \quad (r \rightarrow \infty).$$

In addition,  $f^*$  has the following properties:

**Proposition 1 ([8]).** (a)  $f^*$  is transcendental. (b)  $\rho(f^*) = \rho(f)$ . (c)  $f^*$  is not always non-degenerate.

### 3. Non-Degenerate Case

Let  $f = [f_1, \dots, f_{n+1}]$  and  $X$  be as in Section 1. We shall give similar results to those obtained in [9], Section 3 when  $f$  is non-degenerate and  $\rho(f) = \infty$  in this section. We need another lemma.

**Lemma 8.** Suppose that  $f$  is non-degenerate and  $\rho(f) = \infty$ . For any  $a_1, \dots, a_q$  ( $n+1 \leq q < \infty$ ) of  $X$ , we have

$$(q-n-1)T(r, f) < \sum_{j=1}^q N(r, a_j, f) - N(r, 1/W(f_1, \dots, f_{n+1})) + S(r, f) \quad (\text{see [1]}).$$

**Proof.** We have only to change slightly the proof of the fundamental inequality of Cartan ([1], p.12-p.15). We make use of the formula

$$\frac{1}{2\pi} \int_0^{2\pi} \log \left| \frac{F_1 \cdots F_q}{W(f_1, \dots, f_{n+1})} \right| d\theta = \sum_{j=1}^q N(r, \frac{1}{F_j}) - N(r, \frac{1}{W(f_1, \dots, f_{n+1})}) + O(1),$$

instead of the inequality

$$\frac{1}{2\pi} \int_0^{2\pi} \log \left| \frac{F_1 \cdots F_q}{W(f_1, \dots, f_{n+1})} \right| d\theta \leq \sum_{j=1}^q N_{n-1}(r, F_j) + O(1),$$

used in [1], where  $F_j = (a_j, f)$ .

Since the error term  $S(r)$  used in [1] is equal to a finite sum of integrals of the form

$$\frac{1}{2\pi} \int_0^{2\pi} \log^+ \left| \frac{W(h'_1, \dots, h'_n)}{h_1 \cdots h_n} \right| d\theta + O(1) \leq \sum_{j=1}^n \sum_{k=1}^{n-1} m(r, \frac{h_j^{(k)}}{h_j}) + O(1)$$

where  $h_j$  is a ratio of the form  $F_{j_1}/F_{j_2}$  ( $j_1 \neq j_2$ ), it is easy to see that

$$S(r) = S(r, f)$$

by Lemma 3 as in the proof of Lemma 7 since

$$T(r, h_j) < T(r, f) + O(1)$$

by Lemma 5 (a).

Corollary 1. Under the same condition as in Lemma 8, if the equality holds in (3), then for any  $0 < \alpha < \infty$

$$(8) \quad \lim_{r \rightarrow \infty} = \frac{N_\alpha(r, 0, W(f_1, \dots, f_{n+1}))}{T_\alpha(r, f)} = 0.$$

Proof. By integrating both sides divided by  $r^{1+\alpha}$  of the inequality of Lemma 8 from 1 to  $r$  with respect to  $r$ , we obtain the inequality

$$(q-n-1)T_\alpha(r, f) \leq \sum_{j=1}^q N_\alpha(r, a_j, f) - N_\alpha(r, 0, W) + S_\alpha(r, f),$$

where  $W = W(f_1, \dots, f_{n+1})$ , from which we obtain

$$(9) \quad \sum_{a \in X} \delta_\alpha(a, f) + \limsup_{r \rightarrow \infty} \frac{N_\alpha(r, 0, W)}{T_\alpha(r, f)} \leq n+1.$$

Note that

$$\delta_\alpha(a, f) = 1 - \limsup_{r \rightarrow \infty} N_\alpha(r, a, f) / T_\alpha(r, f)$$

since  $T_\alpha(r, f) = N_\alpha(r, a, f) + m_\alpha(r, a, f) + O(1)$ .

If the equality holds in (3), then by Lemma 2 and (9) we have

$$\sum_{a \in X} \delta_\alpha(a, f) = n+1$$

and so (8) from (9).

Let  $\{e_1, \dots, e_{n+1}\}$  be the standard basis of  $C^{n+1}$  and put

$$X_0 = \{a = (a_1, \dots, a_{n+1}) \in X : a_{n+1} = 0\}.$$

Then,  $\#X_0 \leq n$  since  $X$  is in general position.

Theorem 1. Suppose that  $f$  is non-degenerate and  $\rho(f) = \infty$ . For any  $a_1, \dots, a_q$  ( $1 \leq q < \infty$ ) in  $X - X_0$ , we have the following inequality:

$$\sum_{j=1}^q m(r, a_j, f) \leq m(r, e_{n+1}, f^*) + S(r, f).$$

Proof. We have only to prove this theorem when  $q \geq n+1$ . We put

$$(a_j, f) = F_j \quad (j=1, \dots, q) \quad \text{and} \quad u(z) = \max_{1 \leq j \leq n} |f_j(z)|$$

and for any  $z (\neq 0)$  arbitrarily fixed, let

$$|F_{j_1}(z)| \leq |F_{j_2}(z)| \leq \dots \leq |F_{j_q}(z)| \quad (1 \leq j_1, \dots, j_q \leq q).$$

Then, as in the proof of Theorem 1 in [9], we obtain the inequality

$$\begin{aligned} \sum_{j=1}^q m(r, a_j, f) &\leq \frac{1}{2\pi} \int_0^{2\pi} \log^+ \left| \frac{u(z)^{n+1}}{W(f_1, \dots, f_{n+1})} \right| d\theta \\ &+ \sum_{(j_1, \dots, j_{n+1})} m\left(r, \frac{W(F_{j_1}, \dots, F_{j_{n+1}})}{F_{j_1} \cdots F_{j_{n+1}}}\right) + O(1) \leq m(r, e_{n+1}, f^*) + S(r), \end{aligned}$$

where  $\sum_{(j_1, \dots, j_{n+1})}$  is the summation taken over all combinations  $(j_1, \dots, j_{n+1})$  chosen from  $\{1, \dots, q\}$  and

$$\begin{aligned} S(r) &= \sum_{(j_1, \dots, j_{n+1})} m\left(r, \frac{W(F_{j_1}, \dots, F_{j_{n+1}})}{F_{j_1} \cdots F_{j_{n+1}}}\right) + O(1). \\ &= S(r, f) \end{aligned}$$

as in the case of Lemma 8. Thus, our proof is complete.

Corollary 2. Let  $f$  be as in Theorem 1. Then we have

$$(10) \quad \begin{aligned} \frac{1}{n+1} \sum_{a \in X - X_0} \delta_\alpha(a, f) &\leq \delta_\alpha(e_{n+1}, f^*), \\ \sum_{a \in X - X_0} \delta_\alpha(a, f) &\leq \liminf_{r \rightarrow \infty} \frac{T_\alpha(r, f^*)}{T_\alpha(r, f)} \leq \limsup_{r \rightarrow \infty} \frac{T_\alpha(r, f^*)}{T_\alpha(r, f)} \leq n+1. \end{aligned}$$

We can easily prove this corollary by Lemma 7 and Theorem 1.

Now, we can prove one of main results of this paper.

**Theorem 2.** Suppose that  $f$  is non-degenerate,  $\rho(f) = \infty$  and

(i)  $\delta(e_j, f) = 1$  ( $j = 1, \dots, n$ ).

If there exist  $a_1, \dots, a_q$  ( $n+1 \leq q \leq \infty$ ) in  $X$  such that

(ii)  $\sum_{j=1}^q \delta(a_j, f) = n+1$ ,

then  $f$  is of regular growth.

*Proof.* Suppose that  $X_\circ$  consists of  $a_1, \dots, a_\ell$ . Then,  $0 \leq \ell \leq n$ . Let  $\alpha$  be any positive number. Then, by Corollary 1, we have from (ii)

$$(11) \quad \lim_{r \rightarrow \infty} \frac{N_\circ(r, 1/W(f_1, \dots, f_{n+1}))}{T_\circ(r, f)} = 0.$$

By (10) and (ii), we have

$$(12) \quad 1 \leq n+1 - \ell \leq \sum_{j=\ell+1}^q \delta_\circ(a_j, f) \leq \liminf_{r \rightarrow \infty} \frac{T_\circ(r, f^*)}{T_\circ(r, f)} \leq \limsup_{r \rightarrow \infty} \frac{T_\circ(r, f^*)}{T_\circ(r, f)} \leq n+1.$$

By Proposition 1 (b),  $\rho(f^*) = \rho(f) = \infty$  and (12) implies that

$$(13) \quad \liminf_{r \rightarrow \infty} \frac{\log T_\circ(r, f)}{\log r} = \liminf_{r \rightarrow \infty} \frac{\log T_\circ(r, f^*)}{\log r}$$

From (11) and (12), we have

$$(14) \quad \delta_\circ(e_{n+1}, f^*) = 1$$

and from (i) and (12)

$$(15) \quad \delta_\circ(e_j, f^*) = 1 \quad (j = 1, \dots, n).$$

By Lemma 4, (14) and (15) imply that  $f^*$  is of regular growth since the set  $\{e_j\}_{j=1}^{n+1}$  is in general position. So, (13) and Lemma 1 (b) imply that  $\mu(f) = \infty$ . That is,  $f$  is of regular growth.

**Corollary 3.** Suppose that  $f$  is non-degenerate and  $\rho(f) = \infty$ . If there are  $a_1, \dots, a_q$  in  $X$  ( $n+1 \leq q \leq \infty$ ) such that

(i)  $\delta(a_j, f) = 1$  ( $j = 1, \dots, n$ );

(ii)  $\sum_{j=1}^q \delta(a_j, f) = n+1$ ,

then  $f$  is of regular growth.

We can prove this corollary as in the case of Corollary 3 in [9].

#### 4. Extension

Let  $f = [f_1, \dots, f_{n+1}]$  be a transcendental holomorphic curve from  $\mathbb{C}$  into  $P^n(\mathbb{C})$ . We use the same notation as in Sections 1 and 2. Let  $\Gamma$  be the field consisting of meromorphic functions  $a$  in  $|z| < \infty$  such that  $T(r, a) = S(r, f)$ .

Throughout the section we suppose that  $f$  is non-degenerate over  $\Gamma$  and we note that  $f$  is of order infinite. Let

$$S(f) = \{A = [a_1, \dots, a_{n+1}]: \text{holomorphic curve from } \mathbb{C} \text{ into } P^n(\mathbb{C}) \text{ such that } T(r, A) = S(r, f)\}$$

and let  $H$  be a subset of  $S(f)$  in general position. It is clear that  $S(f) \supset P^n(\mathbb{C})$ . For  $A = [a_1, \dots, a_{n+1}] \in S(f)$  we set

$$(A, f) = a_1 f_1 + \dots + a_{n+1} f_{n+1}.$$

Then, we have the following

**Proposition 2.** (a)  $a_k/a_j \in \Gamma$  if  $a_j \neq 0$ . (b)  $(A, f) \neq 0$ .

*Proof.* (a) Applying Lemma 5 (a) to  $A$ , we have

$$T(r, a_k/a_j) < T(r, A) + O(1) = S(r, f).$$

(b) Since there is at least one  $a_j \neq 0$  ( $1 \leq j \leq n+1$ ),

$$\frac{(A, f)}{a_j} = \frac{a_1}{a_j} f_1 + \dots + \frac{a_{n+1}}{a_j} f_{n+1}$$

is a linear combination of  $f_1, \dots, f_{n+1}$  with  $\Gamma$ -coefficients. As  $f$  is non-degenerate over  $\Gamma$ ,  $(A, f)/a_j \neq 0$ . That is,  $(A, f) \neq 0$ .

We put

$$m(r, A, f) = \frac{1}{2\pi} \int_0^{2\pi} \log \frac{\|A\| \|f\|}{|(A, f)|} d\theta,$$

which is non-negative as in Section 1 and independent of the choice of reduced representations of  $f$  and  $A$ , and

$$N(r, A, f) = N(r, 1/(A, f)).$$

Then we have the first fundamental theorem:

$$T(r, f) = m(r, A, f) + N(r, A, f) + S(r, f).$$

The defect of  $A$  with respect to  $f$  is defined as follows:

$$\delta(A, f) = \liminf_{r \rightarrow \infty} \frac{m(r, A, f)}{T(r, f)}.$$

We define  $m_*(r, A, f)$ ,  $N_*(r, A, f)$  and  $\delta_*(A, f)$  as in Section 2.

Then, by the first fundamental theorem

$$\delta_*(A, f) = 1 - \limsup_{r \rightarrow \infty} \frac{N_*(r, A, f)}{T_*(r, f)}.$$

and Lemma 2 holds for  $A \in S(f)$ . We can prove the following defect relation by using the inequality (19) given below:

The defect relation (cf. [6], see also [4]):

$$(16) \quad \sum_{A \in H} \delta(A, f) \leq \sum_{A \in H} \delta_*(A, f) \leq n+1.$$

The purpose of this section is to give a similar result to Theorem 3 in [9] when  $\rho(f) = \infty$  and the equality holds in (16). We need the following lemma.

**Lemma 9.** For any  $A = [a_1, \dots, a_{n+1}]$  and  $B = [b_1, \dots, b_{n+1}]$  of  $S(f)$  such that  $a_j \neq 0$ ,  $b_k \neq 0$ , put  $(A, f) = F$  and  $(B, f) = G$ . Then,

$$T(r, \frac{F/a_j}{G/b_k}) \leq 2nT(r, f) + S(r, f).$$

*Proof.* Since

$$\begin{aligned} \frac{F/a_j}{G/b_k} &= \left\{ \sum_{\nu=1}^{n+1} (a_\nu/a_j) f_\nu \right\} / \left\{ \sum_{\nu=1}^{n+1} (b_\nu/b_k) f_\nu \right\} \\ &= \left\{ \sum_{\nu=1}^{n+1} (a_\nu/a_j) f_\nu / f_1 \right\} / \left\{ \sum_{\nu=1}^{n+1} (b_\nu/b_k) f_\nu / f_1 \right\}, \\ T(r, \frac{F/a_j}{G/b_k}) &\leq \sum_{\nu=1}^{n+1} \left\{ 2T(r, \frac{f_\nu}{f_1}) + T(r, \frac{a_\nu}{a_j}) + T(r, \frac{b_\nu}{b_k}) \right\} + O(1) \leq 2nT(r, f) + S(r, f) \end{aligned}$$

by Lemma 5 (a) and Proposition 2 (a).

For  $A = [a_1, \dots, a_{n+1}]$  of  $H$ , let  $a_{j_0}$  be the first element not identically equal to zero. Then we put

$$\tilde{A} = (\frac{a_\nu}{a_{j_0}}, \dots, \frac{a_{n+1}}{a_{j_0}}) = (g_1, \dots, g_{n+1}), \quad \|\tilde{A}\| = \|A\| / |a_{j_0}|, \quad \tilde{H} = \{\tilde{A} : A \in H\}$$

and for  $(A, f) = F$

$$\tilde{F} = F/a_{j_0} = (\tilde{A}, f) = \sum_{j=1}^{n+1} g_j f_j.$$

Then, it is clear that  $\tilde{H}$  is in general position and  $g_j = \frac{a_j}{a_{j_0}} \in \Gamma$  by Proposition 2 (a).

Put

$$H_0 = \{A = [a_1, \dots, a_{n+1}] \in H : a_{n+1} = 0\}.$$

Then we have

**Theorem 3.** Suppose that  $\rho(f) = \infty$  and that

- (i)  $\delta(e_j, f) = 1$  ( $j = 1, \dots, n$ ).

If there exist  $A_1, \dots, A_q$  ( $n+1 \leq q \leq \infty$ ) in  $H$  such that

$$(ii) \sum_{j=1}^q \delta(A_j, f) = n+1,$$

then,  $f$  is of regular growth.

Proof. We may suppose without loss of generality that  $q \geq 2n+1$  as in the case of Theorem 3 in [9].

Let  $\epsilon$  be any positive number smaller than  $1/4$ . Then, there exists a finite number  $\nu$  ( $\geq 2n+1$ ) such that

$$(17) \quad \sum_{j=1}^{\nu} \delta(A_j, f) > n+1 - \epsilon.$$

Put for  $j=1, \dots, \nu$

$$A_j = [a_{j1}, \dots, a_{jn+1}] \quad \text{and} \quad \tilde{A}_j = (g_{j1}, \dots, g_{jn+1}).$$

For any integer  $p$ , Let  $V(p)$  be the vector space generated by

$$\left\{ \prod_{k=1}^{n+1} \prod_{j=1}^{\nu} g_{jk}^{p(j,k)} : \sum_{k=1}^{n+1} \sum_{j=1}^{\nu} p(j,k) \leq p, p(j,k) \geq 0 \text{ and integer} \right\}$$

over  $C$  and

$$d(p) = \dim V(p).$$

Then,  $V(p)$  is a subspace of  $V(p+1)$  and

$$\liminf_{p \rightarrow \infty} d(p+1)/d(p) = 1$$

since  $d(p) \leq \binom{(n+1)\nu + p}{p}$  (see [5], see also [6]), so that we can choose  $p$  so large that the following inequality holds:

$$(18) \quad d(p+1)/d(p) < 1 + \epsilon/(n+1).$$

Note that any element of  $V(p)$  belongs to  $\Gamma$  since  $g_{jk} \in \Gamma$ .

Let

$$b_1, \dots, b_{d(p)}, b_{d(p)+1}, \dots, b_{d(p+1)}$$

be a basis of  $V(p+1)$  such that

$$b_1, \dots, b_{d(p)}$$

form a basis of  $V(p)$ . Then, it is clear that the functions

$$\{b_t f_k : t=1, \dots, d(p+1) ; k=1, \dots, n+1\}$$

are linearly independent over  $C$ . We put for convenience

$$W = W(b_1 f_1, b_2 f_1, \dots, b_{d(p+1)} f_{n+1}).$$

Then, we can prove the following inequality as in [4]:

$$(19) \quad N(r, 1/W) + d(p)(\nu - n - 1)T(r, f) \leq d(p) \sum_{j=1}^{\nu} N(r, A_j, f) + (n+1) \{d(p+1) - d(p)\} T(r, f) + S(r, f).$$

Suppose without loss of generality that  $H_0$  consists of  $A_1, \dots, A_\ell$ , where  $0 \leq \ell \leq n$ . As in the proof of Theorem 3 in [9], by making use of Proposition 2 (a) and Lemma 9, we have

$$(20) \quad d(p) \sum_{j=\ell+1}^{\nu} m(r, A_j, f) \leq \frac{1}{2\pi} \int_0^{2\pi} \log^+ \frac{|u(re^{i\theta})|^{(n+1)d(p+1)}}{|W|} d\theta + S(r, f).$$

Let  $g(z)$  be a meromorphic function such that the functions

$$\frac{1}{g(z)} \{f_j(z)\}^{(n+1)d(p+1)} (j=1, \dots, n) \quad \text{and} \quad \frac{1}{g(z)} W$$

are entire functions without common zeros.

We put

$$h^* = \left[ \frac{1}{g} (f_1)^{(n+1)d(p+1)}, \dots, \frac{1}{g} (f_n)^{(n+1)d(p+1)}, \frac{1}{g} W \right].$$

Then, we have the inequality

$$(21) \quad T(r, h^*) \leq (n+1)d(p+1)T(r, f) + S(r, f)$$

(cf. Lemma 7) by using

$$N(r, g) \leq (n+1)d(p+1) \sum_{t=1}^{d(p+1)} N(r, b_t) = S(r, f).$$

From (20) and (21), we have the following as in the case of (10):

$$(22) \quad d(p) \left\{ \sum_{j=\ell+1}^n \delta_o(\mathbf{A}_j, f) \right\} \leq \liminf_{r \rightarrow \infty} \frac{T_o(r, h^*)}{T_o(r, f)} \\ \leq \limsup_{r \rightarrow \infty} \frac{T_o(r, h^*)}{T_o(r, f)} \leq (n+1)d(p+1)$$

(cf. (29) in [9]) and from (17), (18) and (19)

$$(23) \quad \limsup_{r \rightarrow \infty} \frac{N_o(r, 1/W)}{T_o(r, f)} < 2\epsilon d(p).$$

From (17) and (22) by the facts “ $0 \leq \ell \leq n$ ” and “ $\delta(\mathbf{A}, f) \leq \delta_o(\mathbf{A}, f)$ ”, we have for any  $0 < \alpha < \infty$

$$(24) \quad \limsup_{r \rightarrow \infty} \frac{\log T_o(r, f)}{\log r} = \limsup_{r \rightarrow \infty} \frac{\log T_o(r, h^*)}{\log r}$$

and

$$(25) \quad \liminf_{r \rightarrow \infty} \frac{\log T_o(r, f)}{\log r} = \liminf_{r \rightarrow \infty} \frac{\log T_o(r, h^*)}{\log r}.$$

Since  $\rho(f) = \infty$ , (24) and Lemma 1 (a) imply that  $\rho(h^*) = \infty$ .

Suppose now that  $\mu(f) < \infty$ . Then, (25) and Lemma 1 (b) imply that  $\mu(h^*) < \infty$ . Let  $\epsilon$  satisfy

$$(26) \quad 0 < 4\epsilon < \min \left\{ 1, \sup_{\alpha, \tau} \frac{n+1}{n} \cdot \frac{|\sin \pi(\tau + \alpha)|}{K(\alpha, \tau) + |\sin \pi(\tau + \alpha)|} \right\},$$

where  $\alpha$  and  $\tau$  satisfy the conditions (i), (ii) and (iii) of Lemma 6 for  $h^*$ . By the hypothesis (i), (17), (22) and (23), we have

$$(27) \quad K_o(h^*) = \limsup_{r \rightarrow \infty} \frac{\sum_{j=1}^{n+1} N_o(r, \mathbf{e}_j, h^*)}{T_o(r, h^*)} \leq \frac{2\epsilon}{1-2\epsilon} < 4\epsilon.$$

since  $\epsilon < 1/4$ . (26) and (27) contradict with Lemma 6. This shows that  $\mu(f) = \infty$ . That is,  $f$  is of regular growth.

Our proof is complete.

### 5. Degenerate Case

Let  $f, X$  and  $\lambda$  be as in Section 1. Throuout the section we suppose that  $\lambda > 0$ .

By the definition of  $\lambda$ , there are  $n+1-\lambda$  functions in  $\{f_1, \dots, f_{n+1}\}$  which are linearly independent over  $\mathbb{C}$ . We suppose without loss of generality that  $f_1, \dots, f_{n+1-\lambda}$  are linearly independent over  $\mathbb{C}$ . Then  $f_{n+2-\lambda}, \dots, f_{n+1}$  can be represented as linear combinations of  $f_1, \dots, f_{n+1-\lambda}$  with constant coefficients.

From now on we put  $n-\lambda = \ell$  for simplicity.

For any  $\mathbf{a} = (a_1, \dots, a_{n+1})$  of  $\mathbb{C}^{n+1}$  such that  $(\mathbf{a}, f) \neq 0$ , there exists only one vector  $\mathbf{a}' = (a'_1, \dots, a'_{\ell+1}, 0, \dots, 0)$  of  $\mathbb{C}^{n+1}$  such that

$$(\mathbf{a}, f) = (\mathbf{a}', f)$$

since  $f_{\ell+2}, \dots, f_{n+1}$  can be uniquely represented as linear combinations of  $f_1, \dots, f_{\ell+1}$  with constant coefficients. We map  $\mathbf{a}$  to  $\mathbf{a}'$ . In this mapping, we put

$$X'_o = \{ \mathbf{a} \in X : a'_{\ell+1} = 0 \}.$$

**Lemma 10.** (I) The number of vectors of  $X'_o$  is at most  $n$ .

(II) For any vectors  $\mathbf{a}_{j_1}, \dots, \mathbf{a}_{j_m}$  ( $1 \leq m \leq \ell$ ) of  $X - X'_o$  such that  $\mathbf{a}'_{j_1}, \dots, \mathbf{a}'_{j_m}$  are linearly independent over  $\mathbb{C}$ , we can choose  $\mathbf{e}_{i_1}, \dots, \mathbf{e}_{i_{\ell+1-m}}$  from  $\{ \mathbf{e}_1, \dots, \mathbf{e}_\ell \}$  such that

$$\mathbf{e}'_{i_1}, \dots, \mathbf{e}'_{i_{\ell+1-m}}, \mathbf{a}'_{j_1}, \dots, \mathbf{a}'_{j_m}$$

are linearly independent over  $\mathbb{C}$ .

(III) There is a subset  $X''_o$  of  $X'_o$  such that  $\#X''_o \leq \lambda$  and such that from any  $n+1$  vectors  $\mathbf{a}_1, \dots, \mathbf{a}_{n+1}$  of  $X - X''_o$ , we can find  $\ell+1$  vectors  $\mathbf{a}_{j_1}, \dots, \mathbf{a}_{j_{\ell+1}}$  for which

$$(a_{j_1}, f), \dots, (a_{j_{\ell+1}}, f)$$

are linearly independent over  $\mathbb{C}$  and  $a_{j_{\ell+2}}, \dots, a_{j_{n+1}}$ , do not belong to  $X'_0$ . ([9], Lemma 8).

**Lemma 11.** Suppose that  $f_1, \dots, f_{\ell+1}$  ( $\ell = n - \lambda$ ) are linearly independent over  $\mathbb{C}$  and  $\rho(f) = \infty$ . Then for any  $a_1, \dots, a_q$  ( $n+1 \leq q < \infty$ ) of  $X - X'_0$ , we have

$$\sum_{j=1}^q m(r, a_j, f) \leq (n+1)T(r, f) + \lambda \sum_{j=1}^{\ell} N(r, e_j, f) - (\lambda + 1)N(r, 1/W) + S(r, f),$$

where  $W = W(f_1, \dots, f_{\ell+1})$ .

We can prove this lemma as in the case of Lemma 9 in [9].

**Theorem 4.** Suppose that  $f_1, \dots, f_{\ell+1}$  are linearly independent over  $\mathbb{C}$  and  $\rho(f) = \infty$ . Let  $a_1, \dots, a_q$  ( $n + \lambda + 1 \leq q < \infty$ ) be any elements of  $X$  such that  $X''_0 \cap \{a_1, \dots, a_q\} = \{a_1, \dots, a_k\}$ . Then we have

$$(28) \quad \sum_{j=1}^q m(r, a_j, f) \leq (n + \lambda + 1)T(r, f) + \lambda \sum_{j=1}^{\ell} N(r, e_j, f) - (\lambda + 1)N(r, \frac{1}{W}) + S(r, f),$$

where  $W = W(f_1, \dots, f_{\ell+1})$  and  $X''_0$  is the set obtained in Lemma 10.

Further if  $\delta(e_j, f) = 1$  ( $j = 1, \dots, \ell$ ), then

$$(29) \quad \sum_{j=1}^q \delta(a_j, f) \leq n + 1 + \sum_{j=1}^k \delta(a_j, f) \leq n + \lambda + 1.$$

*Proof.* We first note that  $0 \leq k \leq \lambda$  by Lemma 10 (III). Applying Lemma 11 to  $\{a_{k+1}, \dots, a_q\}$ , we have

$$(30) \quad \sum_{j=k+1}^q m(r, a_j, f) \leq (n+1)T(r, f) + \lambda \sum_{j=1}^{\ell} N(r, e_j, f) - (\lambda + 1)N(r, \frac{1}{W}) + S(r, f).$$

Adding  $\sum_{j=1}^k m(r, a_j, f)$  to both sides of (30), using

$$m(r, a_j, f) \leq T(r, f) + O(1)$$

and noting  $k \leq \lambda$ , we have (28).

If  $\delta(e_j, f) = 1$  ( $j = 1, \dots, \ell$ ), then from (27) we have

$$\sum_{j=k+1}^q \delta(a_j, f) \leq n + 1.$$

Adding  $\sum_{j=1}^k \delta(a_j, f)$  to both sides of this inequality, we obtain (29).

**Corollary 4.** Suppose that  $f_1, \dots, f_{\ell+1}$  are linearly independent over  $\mathbb{C}$ ,  $\rho(f) = \infty$  and that

(i)  $\delta(e_j, f) = 1$  ( $j = 1, \dots, \ell$ ).

If there exist  $a_1, \dots, a_q$  ( $n + \lambda + 1 \leq q < \infty$ ) in  $X$  such that

(ii)  $\sum_{j=1}^q \delta(a_j, f) = n + \lambda + 1$

and such that

$$X''_0 \cap \{a_1, \dots, a_q\} = \{a_1, \dots, a_k\},$$

then

(a)  $k = \lambda$  and  $\delta(a_j, f) = 1$  ( $j = 1, \dots, \lambda$ );

(b)  $\lim_{r \rightarrow \infty} \frac{N_*(r, 1/W)}{T_*(r, f)} = 0$ .

*Proof.* (a) From the hypothesis (ii) and (30), we have

$$n + \lambda + 1 = \sum_{j=1}^q \delta(a_j, f) \leq n + 1 + \sum_{j=1}^k \delta(a_j, f) \leq n + \lambda + 1,$$

so that we have

$$k = \lambda \quad \text{and} \quad \delta(a_j, f) = 1 \quad (j = 1, \dots, \lambda).$$

(b) From (28) of Theorem 4 and the hypothesis (i), we have

$$\sum_{j=1}^q \delta_*(a_j, f) + (\lambda + 1) \limsup_{r \rightarrow \infty} \frac{N_*(r, 1/W)}{T_*(r, f)} \leq n + \lambda + 1,$$

so that by the hypothesis (ii) and Lemma 2 we obtain

$$\lim_{r \rightarrow \infty} \frac{N_*(r, 1/W)}{T_*(r, f)} = 0.$$

Suppose that  $f_1, \dots, f_{\ell+1}$  are linearly independent over  $\mathbb{C}$ . Let  $f^*$  be the holomorphic curve induced by the

mapping

$$(f_1^{\ell+1}, \dots, f_{\ell+1}^{\ell+1}, W): \mathbb{C} \rightarrow \mathbb{C}^{\ell+1}$$

where  $W = W(f_1, \dots, f_{\ell+1})$  is the Wronskian of  $f_1, \dots, f_{\ell+1}$ .

Note that there is an entire function  $d(z)$  such that the functions  $f_j^{\ell+1}/d$  ( $j = 1, \dots, \ell$ ) and  $W/d$  have no common zeros.

Let  $\{\tilde{e}_j\}_{j=1}^{\ell+1}$  be the standard basis of  $\mathbb{C}^{\ell+1}$ . Then, we have

**Theorem 5.** Suppose that  $\rho(f) = \infty$ . For any  $\mathbf{a}_1, \dots, \mathbf{a}_q$  ( $n+1 \leq q < \infty$ ) in  $X - X'_0$ , we have

$$\sum_{j=1}^q m(r, \mathbf{a}_j, f) \leq (\lambda + 1)m(r, \tilde{\mathbf{e}}_{\ell+1}, f^*) + S(r, f).$$

We can prove this theorem as in the case of Theorem 5 in [9] with a slight change in estimating the error term.

**Corollary 5.** Under the same assumption as in Theorem 5, we have

$$(31) \quad \frac{1}{(\lambda + 1)(\ell + 1)} \sum_{\mathbf{a} \in X - X'_0} \delta_{\mathbf{a}}(\mathbf{a}, f) \leq \delta_{\mathbf{a}}(\tilde{\mathbf{e}}_{\ell+1}, f^*);$$

$$(32) \quad \frac{1}{(\lambda + 1)} \sum_{\mathbf{a} \in X - X'_0} \delta_{\mathbf{a}}(\mathbf{a}, f) \leq \liminf_{r \rightarrow \infty} \frac{T_{\mathbf{a}}(r, f^*)}{T_{\mathbf{a}}(r, f)} \leq \limsup_{r \rightarrow \infty} \frac{T_{\mathbf{a}}(r, f^*)}{T_{\mathbf{a}}(r, f)} \leq \ell + 1.$$

We can prove this corollary by Theorem 5 and Lemma 7 as in the case of Corollary 2 in Section 3.

**Theorem 6.** Suppose that  $f_1, \dots, f_{\ell+1}$  are linearly independent over  $\mathbb{C}$ ,  $\rho(f) = \infty$  and that

$$(i) \quad \delta(\mathbf{e}_j, f) = 1 \quad (j = 1, \dots, \ell).$$

If there exist  $\mathbf{a}_1, \dots, \mathbf{a}_q$  ( $n + \lambda + 1 \leq q \leq \infty$ ) in  $X$  such that

$$(ii) \quad \sum_{j=1}^q \delta(\mathbf{a}_j, f) = n + \lambda + 1,$$

then  $f$  is of regular growth.

*Proof.* By Lemma 10 (I),  $X'_0$  contains at most  $n$  vectors. We may suppose without loss of generality that

$$X'_0 = \{\mathbf{a}_1, \dots, \mathbf{a}_p\} \quad (0 \leq p \leq n).$$

Then from the hypothesis (ii), we have

$$(33) \quad \lambda + 1 \leq n + \lambda + 1 - p \leq \sum_{j=p+1}^q \delta(\mathbf{a}_j, f) \leq \sum_{j=p+1}^q \delta_{\mathbf{a}_j}(\mathbf{a}_j, f)$$

by Lemma 2. (32) and (33) imply that

$$(34) \quad \limsup_{r \rightarrow \infty} \frac{\log T_{\mathbf{a}}(r, f)}{\log r} = \limsup_{r \rightarrow \infty} \frac{\log T_{\mathbf{a}}(r, f^*)}{\log r}$$

and

$$(35) \quad \liminf_{r \rightarrow \infty} \frac{\log T_{\mathbf{a}}(r, f)}{\log r} = \liminf_{r \rightarrow \infty} \frac{\log T_{\mathbf{a}}(r, f^*)}{\log r}$$

By Lemma 1 (a) and (34) we have  $\rho(f^*) = \infty$ . The hypothesis (i), (32) and (33) imply that

$$(36) \quad \delta_{\mathbf{a}}(\tilde{\mathbf{e}}_j, f^*) = 1. \quad (j = 1, \dots, \ell).$$

Further, Corollary 4 (b), (32) and (33) imply that

$$(37) \quad \delta_{\mathbf{a}}(\tilde{\mathbf{e}}_{\ell+1}, f^*) = 1.$$

By Lemma 4, (36) and (37) imply that  $f^*$  is of regular growth; that is to say,  $\mu(f^*) = \rho(f^*) = \infty$ . Then, by Lemma 1 (b) and (35) we have  $\mu(f) = \infty$ . Namely,  $f$  is of regular growth.

As in Corollary 3, we have the following

**Corollary 6.** Suppose that  $\rho(f) = \infty$ . If there exist  $\mathbf{a}_1, \dots, \mathbf{a}_q$  ( $n + \lambda + 1 \leq q \leq \infty$ ) in  $X$  such that

$$(i) \quad \delta(\mathbf{a}_j, f) = 1 \quad (j = 1, \dots, n),$$

$$(ii) \quad \sum_{j=1}^q \delta(\mathbf{a}_j, f) = n + \lambda + 1,$$

then  $f$  is of regular growth.

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