Nickel-catalyzed indium(I)-mediated double addition of aldehydes to 1,3-dienes

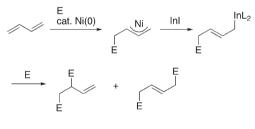
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In the presence of InI, Ni(acac)₂ and PPh₃, several 1,3-dienes were reacted with two molecules of aldehyde to give the corresponding 1,4- and 1,6-diols. The regioselectivity of the 1,4-/ 1,6-diol was efficiently regulated by the addition of water; the 1,6-diol was obtained selectively in dry THF, whereas the 1,4-diol was obtained predominantly in DMI containing a small amount of water.

Allylic indium compounds have widely been used for carboncarbon bond formations in both organic and aqueous media.¹ The preparation of allylic indium compounds is based on oxidative additions toward allylic halides² or transmetalations from allylic lithium, magnesium, tin and palladium compounds.³ We have recently reported a new preparation of allylic indium reagents via a reductive transmetalation of π -allylnickel complexes, where allylic alcohols serve as a good allylic source.⁴ As π -allylnickel complexes are alternatively available by reactions of dienes with Ni(0) complexes,⁵ a broad range of allylindium compounds are expected to be prepared from various dienes. We describe here the double addition of aldehydes to 1,3-dienes via two distinct types of allylation; i.e., the first addition of Ni-diene complexes to aldehyde gives π -allylnickel intermediates, which undergo a reductive transmetalation with InI generating allylindium compounds; then, the second addition of aldehyde furnishes three-component coupling products (Scheme 1). When buta-1,3-diene and benzaldehyde were treated with InI in the presence of Ni(acac)₂ (10 mol%) and PPh₃ (40 mol%) in DMI

Department of Materials Science and Engineering, Graduate School of Engineering, Nagoya Institute of Technology, Gokiso, Showa, Nagoya, 466-8555, Japan. E-mail: hirasita@nitech.ac.jp; Fax: +81-52-735-5206; Tel: +81-52-735-5206 (1,3-dimethyl-2-imidazolidinone), a mixture of 1,4-diol 2 (36%) and 1,6-diol 3 (54%) was obtained, together with a small amount of 1 (Scheme 2 and Table 1, entry 1). The use of cycloocta-1,5diene (cod) as a ligand resulted in a decrease of the total yield (entry 2) and a large drop of the yield was observed in the case using 1,4-bis(diphenylphosphino)butane (dppb) (entry 3). The addition of InCl₃ as a Lewis acid showed no change in the yield or the distribution of the products (entry 4). However, the existence of a small amount of water predominantly gave rise to 2 in 64% vield (entries 5 and 6). The formation of 2 was not increased by the use of t-BuOH as a protic solvent in place of water (entry 7). By the combined use of dppb and water, homoallylic alcohol 1 was formed exclusively (entry 8). In contrast, the reaction performed in carefully dried THF produced diol 3 selectively in 87% yield (entry 9). The Ni-catalyzed reactions of dienes with aldehydes have hitherto been documented,⁵ where the initial reaction proceeds via a nucleophilic attack of Ni-diene complexes toward aldehvdes activated by Lewis acid to produce π -allylnickel species. In all cases previously reported,⁵ the allylnickel species are used only for a single coupling with carbonyl components. In our present reaction, however, the subsequent

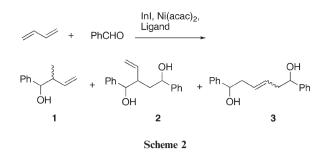


Scheme 1

 Table 1
 The Ni-catalyzed InI-mediated reaction of buta-1,3-diene with benzaldehyde^a

| | | | | tereomeric ratio) ^b | ratio) ^b | | |
|-------|---|------------------|-------------------------|--------------------------------|-----------------------|--------------|-------------------|
| Entry | Solvent | Ligand | Additive/mmol | Time/h | 1 (syn : anti) | 2 | 3 |
| 1 | DMI | PPh ₃ | None | 3 | 4 (73 : 27) | 36 (19 : 81) | 54 (27 : 19 : 54) |
| 2^c | DMI | cod | None | 24 | 5 (57 : 43) | 31 (20 : 80) | 23 (22 : 48 : 30) |
| 3 | DMI | $dppb^d$ | None | 20 | 8 (73 : 27) | 7 (20 : 80) | 3 (23 : 42 : 35) |
| 4 | DMI | PPh ₃ | InCl ₃ (0.5) | 2 | 4 (75 : 25) | 27 (12 : 88) | 56 (22 : 32 : 46) |
| 5 | DMI | PPh ₃ | $H_2O(1)$ | 3 | 15 (77 : 23) | 64 (23 : 77) | 8 (22 : 53 : 25) |
| 5 | DMI | PPh ₃ | $H_2O(3)$ | 3 | 21 (75 : 25) | 63 (19 : 81) | 11 (20 : 49 : 31) |
| 7 | DMI- ^{<i>t</i>} BuOH $(1:1)^{e}$ | PPh ₃ | None | 24 | 2 (77 : 23) | 30 (23 : 77) | 23 (19 : 33 : 48) |
| 3f | DMI | dppb | $H_2O(3)$ | 18 | 74 (80 : 20) | Trace | Trace |
|) | THF | PPh_3 | None | 4 | 5 (79 : 21) | 5 (30 : 70) | 87 (7:16:77) |

^{*a*} All reactions were carried out with buta-1,3-diene (0.50 mmol), benzaldehyde (0.50 mmol), InI (0.50 mmol), Ni(acac)₂ (10 mol%) and ligand (40 mol%) in solvent (1 mL) at room temperature unless otherwise mentioned. ^{*b*} The ratio was estimated by GC analysis for the acetylated products. ^{*c*} Ni(cod)₂ (20 mol%) was used. ^{*d*} 20 mol%. ^{*e*} 2.0 mL. ^{*f*} Buta-1,3-diene (0.50 mmol), benzaldehyde (0.25 mmol), Ni(acac)₂ (20 mol%) and dppb (40 mol%) were used.

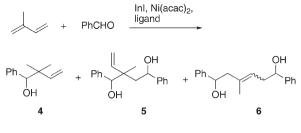


reductive transmetalation of the π -allylnickel species by InI generates allylic indium compounds, which react further with another molecule of benzaldehyde to give 2 and 3 (Scheme 3). The selectivity 2/3 depends largely on the amount of water and this fact can be rationalized in terms of an equilibrium of two intermediates A and B. Under aqueous conditions, a contribution of linear allylindium A leading to 2 is predominant, whereas under anhydrous conditions secondary allylic indium B is considered to be much favored by intramolecular chelation; hence, 3 is obtained selectively in dry THF. When the initial reaction of the diene occurs with water in place of benzaldehyde, the resulting crotylindium reacts with benzaldehyde to give 1, where dppb efficiently works as a bulky ligand to prevent the attack of benzaldehyde (entry 8).

Next, isoprene was subjected to the Ni-catalyzed reactions (Scheme 4 and Table 2). In DMI, a mixture of 1,4-diol **5** and 1,6-diol **6** was obtained with a similar selectivity to the case of buta-1,3-diene (entry 1). The effect of water was also evident; in the presence of water, **5** was obtained with higher selectivity than that involving buta-1,3-diene (entry 2). The formation of **6** was predominant in dry THF (entry 3). The use of dppb in place of PPh₃ resulted in a selective formation of **4** in a modest yield (entry 4).

Piperylene was also proved to be useful for the present allylation as a diene unit (Scheme 5 and Table 3). To obtain the 1,4-diol selectively, the reaction was performed under aqueous conditions. However, an almost 1 : 1 mixture of 1,4-diol 8 and 1,6-diol 9 was obtained (entry 1). In contrast, the reaction in dry THF gave 9 selectively (entry 2).

It seems difficult to employ two different aldehydes for the present sequential reactions, because the allylindium reagents generated from the π -allylnickel intermediates by *umpolung* immediately react with the remaining initial aldehyde. To aim the coupling of a 1,3-diene moiety with two distinct electrophiles, dienyl aldehyde **10** was prepared and subjected to the reaction with

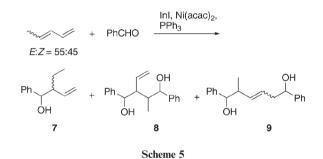


Scheme 4

Table 2 The Ni-catalyzed InI-mediated reaction of isoprene with benzaldehyde^a

| | | | | Yi | eld (%) (diastereor | neric ratio) ^b |
|-------------------------------|--------------------------|---|--|--------|--|---------------------------|
| Entry | Solvent | Ligand | Additive/ mmol | 4 | 5 | 6 |
| 1 2 3 4 ^c | DMI DMI THF DMI | PPh ₃ PPh ₃ PPh ₃ dppb ^d | None H ₂ O (1) None H ₂ O (3) | 5 5 | 42 (47 : 39 : 8 : 6 82 (45 : 38 : 9 : 8 17 (38 : 45 : 9 : 8 0 |) Trace |

^{*a*} All reactions were carried out with isoprene (0.50 mmol), benzaldehyde (0.50 mmol), InI (0.50 mmol), Ni(acac)₂ (10 mol%) and ligand (40 mol%) in solvent (1 mL) at room temperature for 24 h unless otherwise mentioned. ^{*b*} Isolated yield. The diastereomeric ratio was estimated by ¹H NMR analysis. ^{*c*} Isoprene (1.0 mmol) and benzaldehyde (0.50 mmol) were used. ^{*d*} 20 mol%.



benzaldehyde. An intramolecular cyclization of **10** is expected to be feasible prior to an intermolecular reaction with benzaldehyde. The resulting allylnickel compound is considered to undergo a reductive transmetalation with InI to generate an allylindium reagent, which leads to an adduct with benzaldehyde. In fact, the reaction of **10** with benzaldehyde in DMI gave the expected product **11** exclusively in 55% yield (Scheme 6, Table 4, entry 1).⁶ The regioisomeric branched product was not formed. In dry THF

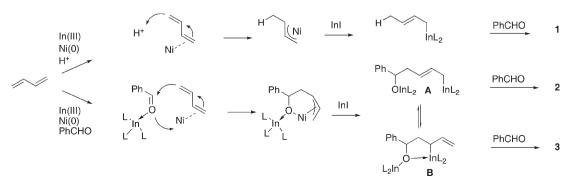
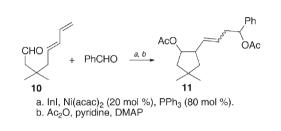




Table 3 The Ni-catalyzed InI-mediated reaction of piperylene with benzaldehyde^a

| Entry | Conditions | Yield (%) |
|-------|--|--|
| 1 | DMI, H ₂ O (200 mol%), 4 h | 7 : 5 (71 : 29), 8 : 44 (77 : 11 : 6 : 6) ^d , 9 : 47 ^e |
| 2 | THF, 18 h | 7 : trace, 8 : trace, 9 : 79 $(28:2:26:12:28:4)^d$ |

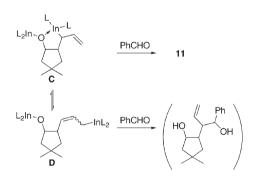
^{*a*} All reactions were carried out with piperylene (0.50 mmol), benzaldehyde (0.50 mmol), InI (0.50 mmol), Ni(acac)₂ (10 mol%) and PPh₃ (40 mol%) in solvent (1 mL) at room temperature. ^{*b*} Determined by ¹H NMR. ^{*c*} A small amount (1%) of 1-phenylhex-3-en-1-ol was also obtained. ^{*d*} Determined by GC analysis of the corresponding diacetates. ^{*e*} Diastereomeric ratio was not determined.



Scheme 6

Table 4The intramolecular cyclization of diene 10

| Entry | 10 : PhCHO (mmol) | Conditions | Yield of 11 (%) (diastereomeric ratio) ^{<i>a</i>} |
|-------|---------------------------|--|---|
| 2 | | DMI, 4 h THF, 24 h | 55 (7 : 42 : 6 : 21 : 24) 55 (7 : 7 : 22 : 28 : 36) 56 (6 : 57 : 2 : 10 : 24) |
| | 0.50/0.50 ermined by G | DMI, H_2O (1 mmol), 24 h C analysis. | 56 (6 : 57 : 3 : 10 : 24) |



Scheme 7

(entry 2) and even in moist DMI (entry 3), **11** was solely obtained. These facts indicate that the intramolecular chelation depicted as **C** in Scheme 7 is much tighter than that in the cases of the 1,3-dienes in Table 1, Table 2 and Table 3 and/or the bulkiness arising from the cyclopentyl substituent of **D** is crucial for preventing the reaction at the γ -position.

In conclusion, the double addition of aldehydes toward 1,3dienes has been achieved by the Ni-catalyzed InI-mediated reaction. The regioselectivity has successfully been controlled by water in the solvent. The reaction has been applied to the coupling of the ω -formyl diene and benzaldehyde through intramolecular cyclization. Further applications of this procedure are currently under study.

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- 6 2-(4-Acetyloxy-4-phenylbut-1-enyl)-4,4-dimethylcyclopentyl acetate (11): Anhydrous Ni(acac)₂ was prepared from Ni(acac)₂·2H₂O (15 mg, 0.050 mmol, 20 mol%) by heating with a heat gun before use. To a mixture of the Ni(acac)₂, InI (121 mg, 0.50 mmol) and PPh₃ (52 mg, 0.20 mmol, 80 mol%) in DMI (1.0 mL), 3,3-dimethylocta-5,7-dienal (38 mg, 0.25 mmol) and benzaldehyde (52 µL, 0.50 mmol) were added and the reaction mixture was stirred at room temperature for 4 h. After the reaction mixture was treated with DMAP (10 mg), pyridine (1 mL) and acetic anhydride (1 mL) at room temperature for 1 h, the reaction was quenched with H₂O (3 mL) and the products were extracted with diethyl ether. The combined ether layer was successively washed with 1 M HCl, saturated aq. NaHCO3 and brine. The ether solution was dried over Na₂SO₄ and the solvent was removed under reduced pressure to give the crude product (158 mg). Chromatographic separation on silica gel, using hexane-EtOAc (15 : 1 to 4 : 1) as eluent, gave 11 (47 mg, 55%, dr = 7: 42: 6: 21: 24) (Found: C, 72.92; H, 8.51. Calc. for C₂₁H₂₈O₄: C, 73.23; H, 8.19%); The diastereomeric ratio was determined by GC; $\delta_{\rm H}$ (200 MHz; CDCl₃; Me₄Si): (major) 2.06, 2.07 (s, total 6 H, Ac × 2), 0.99-1.02, 1.06-1.19 (m, total 6 H, Me2), 1.25-1.84 (m, 4 H, CH2CCH2), 2.50-2.75 (m, 2 H, =CHCH₂), 2.62-2.80, 2.82-2.99 (m, total 1 H, CH), 5.04-5.17 (m, 1 H, CHCHOAc), 5.23-5.50 (m, 2 H, CH=CH), 5.66-5.76 (m, 1 H, CHPh), 7.23-7.34 (m, 5 H, Ph).